



CHIEF FINANCIAL OFFICER
JIMMY PATRONIS
STATE OF FLORIDA



18-000290837

DATE: Thursday, December 13, 2018
TO: VIRGINIA CHRISTY, MONICA ROSS
COPY TO: ANOUSH BRANGACCIO
OFFICE: WORKERS' COMPENSATION

CASE NO: 2018R00542 078
COURT: UNITED STATES DISTRICT COURT
COUNTY: WESTERN
DFS-SOP#: 18-000290837
PLAINTIFF: FBI CHARLOTTE
DEFENDANT: GREG LINDBERG

SERVICE OF PROCESS NOTIFICATION

Legal documents were received in the Service of Process Section Thursday, December 13, 2018 served by FEDERAL EXPRESS regarding the above mentioned case. Please handle accordingly.

Please follow the link to ... <https://apps.fldfs.com/LSOP/Account/login.aspx> , log in and download the complete file.

This process has been served and is being sent to you for handling. The original process is being retained in the Service of Process office and will be sent to you upon request (if applicable). This process requires immediate action and it is recommended you give it your prompt attention. If you have questions or need assistance with the matter, please contact your supervisor and request an attorney be assigned to assist you.

The original process will remain in our office for one month unless you respond and give other instructions. We will continue to maintain an electronic copy for future historical reference. IF YOU NEED THE ORIGINAL PROCESS, PLEASE CONTACT OUR OFFICE IMMEDIATELY AND WE WILL INTEROFFICE THE DOCUMENTS TO YOU OR YOU MAY HAVE THEM PICKED UP AT OUR OFFICE IN ROOM 131, LARSON BUILDING.

If you have any questions regarding the delivery of the process, please contact one of the following people: Jane at Ext 14290, Tammie at Ext 14203, or Tonya at Ext 14133.

Attachments: SUBPOENA TO TESTIFY BEFORE A GRAND JURY, PROOF OF SERVICE, DOCUMENTS, LETTER, WAIVER INSTRUCTIONS, WAIVER OF APPEARANCE AND RECEIPT FOR RECORDS, LETTER, CERTIFICATE OF AUTHENTICITY OF BUSINESS RECORDS PURSUANT TO FEDERAL RULE OF EVIDENCE 902(11), DOCUMENTS

Attorney of Record information;

DANIEL RYAN
SUITE 1650
227 WEST TRADE STREET
CHARLOTTE, NC 28202

CJW

UNITED STATES DISTRICT COURT
for the
Western District of North Carolina
SUBPOENA TO TESTIFY BEFORE A GRAND JURY

RECEIVED IN SERVICE
OF PROCESS SECTION
2018 DEC 13 PM 1:11
BY FLORIDA DEPARTMENT
OF FINANCIAL SERVICES

To: Florida Department of Financial Services
Attn: The Public Records Unit/Virginia Christy
200 E. Gaines Street
Tallahassee, FL 32399

YOU ARE COMMANDED to appear in this United States district court at the time, date, and place shown below to testify before the court's grand jury. When you arrive, you must remain at the court until the judge or a court officer allows you to leave.

Place: Charles R. Jonas Federal Building 401 West Trade Street Room 203 Charlotte, NC 28202	Date and Time: January 15, 2019 @9:00 am
--	--

YOU MUST also bring with you the following documents, electronically stored information, or objects (blank if not applicable):

SEE ATTACHMENT

An official criminal investigation of a suspected felony is being conducted by an agency of the United States and a federal grand jury. As a subpoena recipient, you are under no obligation of secrecy. However, we request that you not disclose the existence of this subpoena as the impact of any such disclosure could be detrimental to the investigation.

Please contact John Carrothers, FBI 704-672-6363 for further instructions in complying with this subpoena.

Date: December 11, 2018



Frank G. Johns
Frank G. Johns, Clerk
United States District Court

Frank G. Johns
Signature of Clerk or Deputy Clerk

The name, address, e-mail, and telephone number of the United States Attorney, or Assistant United States Attorney, who requests this subpoena, are:

Daniel Ryan
227 West Trade Street, Suite 1650, Charlotte, NC 28202
(704) 344-6222
USANCW.ALSUNIT@USDOJ.GOV

John Carrothers, FBI, 704-672-6363

RECEIVED AS STATUTORY REGISTERED AGENT
on 13 December, 2018 and served on defendant or named party on 13 December, 2018
by the Florida Department of Financial Services

PROOF OF SERVICE

This subpoena for (name of individual or organization) Florida Department
was received by me on (date) 12-12-18

☒ I served the subpoena by delivering a copy to the named person as follows: Lia Fed Ex on
the Public Records Unit / Virginia Christy
on (date) 12-12-18; or

☐ I returned the subpoena unexecuted because: _____

I declare under penalty of perjury that this information is true.

Date: 12-12-18

John R. Carrothers
Server's signature

JOHN Carrothers - Forensic Accountant
Printed name and title

7915 Microsoft Way, Charlotte, NC
Server's address

Additional information regarding attempted service, etc:

PRODUCE THE FOLLOWING:

For the time period beginning January 2014 through the present provide the following:

- All records in your possession, custody, or control pertaining to business dealings you had with, or through Greg Lindberg, as identified below, or businesses/entities with which he was associated. Records, include, but are not limited to, PowerPoint presentations, bluebook information, examinations, quarterly/annual reports provided, account records, contracts and agreements, security instruments (e.g., promissory notes, investment contracts), distribution forms, note modification forms, records of assets, records of purchases, records of payments, tax records, redemptions, sales, and transfers; and any other records pertaining to business dealings.
 - This request calls for all records, regardless of the individual or entity's interest or authority, be it individual, joint, fiduciary, custodian, executor, guardian, trustee, grantor, grantee, payor, payee, beneficiary, signatory, or otherwise.
- All correspondence with the below person and associated entities and/or with third parties regarding the below persons/entities. All memoranda notes, files or records relating to meetings or conversations concerning the below persons/entities.
- Audit reports for quarterly and annual financial statements for each period.

We will maintain the confidentiality of any confidential information provided in response to this Subpoena and will not disclose it to any third party, except to the extent that we determine that disclosure is required by law or would be in furtherance of our or any other federal agency's discharge of its duties and responsibilities.

SUBJECT DETAILS:

Relating to the following individuals/businesses, provide the documentation listed above for the applicable time periods for the following:

Eli Global-EIN No. 27-0157758
2222 Sedwick Road, Durham, NC 27713

Any and all entities associated with Eli Global, including, but not limited to:

Vista Life & Casualty Reinsurance Company

United Security

Beechwood Bermuda

Global Bankers Insurance Group-EIN No. 47-4223933

Southland National Holdings, Inc

Bankers Life Insurance Company-EIN No. 59-1460067

Colorado Bankers Life Insurance Company-EIN No. 84-0674027

Southland National Insurance Corporation-EIN No. 63-0572745

Southland National Reinsurance Corporation-EIN No. 47-2580033

American Academy Holdings, LLC EIN No. 20-5557600

Pavonia Life Insurance Co. of Michigan-EIN No. 38-2341728

Pavonia Holdings, Inc.-EIN No. 46-0927525

Please do not disclose this subpoena request to anyone associated with the account and please do not freeze or cancel the account. Disclosing the existence of this request could jeopardize or impede the investigation. If compliance will alert the individuals, please immediately contact or direct any inquiries about this subpoena to the below:

**Samantha Zigmont
Forensic Accountant-FBI
(704) 672-6365
smzigmont@fbi.gov**

**Alexandra Cuccaro
Special Agent-FBI
(704) 672-6411
aecuccaro@fbi.gov**

**John Carrothers
Forensic Accountant-FBI
(704) 672-6363
jrcarrothers@fbi.gov**

US Attorney's Office - Electronic Load File Specifications		
Field Name	Field Description	Applies To
BATESBEGIN	Bates begin number including prefix Example: USA00000001	All
BATESEND	Bates end number including prefix Example: USA00000001	All
BATESBEGATT	The beginning Bates number for a range of related or family documents. Example: emails and their attachments are considered document families.	Emails & Attachments / Zip File & Attachments
BATESENDATT	The ending Bates number for a range of related or family documents. Example: emails and their attachments are considered document families.	Emails & Attachments / Zip File & Attachments
CUSTODIAN	Custodian / Source	All
DOCTYPE	Examples: Email, Attachment, Zip File, Zip File Attachment, Electronic Document, Paper	All
NATIVEFILE	This field will contain the full directory path and file name to the native file.	Native files produced
TO	TO recipient(s) of email	Emails
FROM	FROM or author(s) of emails	Emails
CC	CC recipient(s) of email	Emails
BCC	BCC recipient(s) of email	Emails
AUTHOR	AUTHOR(s) of documents	Non-emails
SUBJECT	Subject of emails and electronic documents	All
TITLE	Title of electronic documents	Where available
CONVERSATION	Email conversation text	Emails
DATECREATED	Creation date of original document	All except emails
DATELASTMOD	Last modification date of original document	All except emails
DATESENT	Sent date of email message	Emails
DATERCVD	Received date of email message	Emails
DATELSTPRINT	Date last printed	Where available
APPLICATION	Application used to create the native file, e.g. Word, PowerPoint, and Excel	All
FILENAME	File name. Example: May2011.xls	All
FILE_EXT	File Extension	All

Field Name	Field Description	Applies To
ORGFILPATH	File path from original media including file name: Example: Ksmith\Financials\Accts_payable.xls	All
NUMATTACH	The number of attachments to an email or contained in a zip file.	Emails / Zip Files
HEADER	Email header data	Emails
CATEGORIES	Contains the category a user manually entered in the categories field of a document	Where available
COMMENTS	Contains the comments from the body of Excel, Word, PowerPoint and Project files	Where available
KEYWORDS	Keywords a user manually entered into the keywords property field	Where available
FOLDER	Email folder name. Example: Sent, Inbox, Drafts	Emails / Attachments
MAILSTORE	The name of the email file. Example: ksmith.pst	Emails / Attachments
FILE_SIZE	File size	Where available
HAS_MACRO	The file contains a macro	Where available
REV_NUMBER	The file contains a revision number	Where available
LAST_EDIT_BY	Last edited by	Where available
ATTACHNAME	List of attachment file names. Example:	Emails / Zip Files
HASHVALUE	The unique hash value of the document	All
PAGECOUNT	The number of pages in a document.	All tif files
MESSAGEID	Email message id	Emails
VOLNUM	Media volume number, Example: USAVol001	All

Field Name	Field Description	Applies To
Load File Format: .dat load file with the standard Concordance delimiters. Please use true date fields in the format the dates as mm/dd/yyyy in the .dat file.		
Other Formatting: Each member of a family will have its own record. <i>Example:</i> the email is a separate record from its attachment(s).		
Image Format: Group IV single page .tif or .jpg files. Paper files should be scanned at 300 DPI. The file name should equal the Bates begin number without spaces. Do not split pages for a document into separate folders.		