

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Wright, Peter C

Assistant Administrator, Office of Land and Emergency Management (formerly Office of Solid Waste and Emergency Response), Environmental Protection Agency

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Environment and Public Works**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Wright, Peter C [electronically signed on 11/16/2017 by Wright, Peter C in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Fugh, Justina, Certifying Official [electronically signed on 03/07/2018 by Fugh, Justina in Integrity.gov]

Other review conducted by

/s/ Fugh, Justina, Ethics Official [electronically signed on 03/07/2018 by Fugh, Justina in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/14/2018 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|--|-------------------------|---|-------------------------------|--------|---------|
| 1 | The Dow Chemical Company | Midland, Michigan | Corporation | Managing Counsel | 1/1999 | Present |
| 2 | The National Association of Wabash Men | Crawfordsville, Indiana | The national alumni organization for Wabash College | Board member, Committee Chair | 5/2016 | Present |
| 3 | Lambda Chi Alpha Home Association of Wabash College, Inc | Crawfordsville, Indiana | Alumni Association for college fraternity | President | 7/2017 | Present |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|-----------------------------|--------------|---------------------------|
| 1 | The Dow Chemical Company See Endnote | N/A | | Salary/Bonus | \$647,409 |
| 2 | The Dow Chemical Company, Defined Contribution Plan | No | | | |
| 2.1 | Vanguard Institutional 500 Index (VINIX) | Yes | \$250,001 - \$500,000 | | \$15,001 - \$50,000 |
| 2.2 | iShares Tr/MSCI ACWI ex US ETF (ACWX) | Yes | \$100,001 - \$250,000 | | \$15,001 - \$50,000 |
| 2.3 | Fidelity Small Cap Index Fund Institutional Class (FSSSX) | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 2.4 | Dow Chemical stock | N/A | None (or less than \$1,001) | Dividends | \$2,501 - \$5,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 2.5 | DowDuPont Heritage Stock Fund (DWDP stock only) | | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 2.6 | DowDuPont Heritage UCC Stock Fund (DWDP stock only) | | N/A | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 |
| 2.7 | DowDupont Stock Fund (company stock fund) | | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 3 | Dow Chemical Company, defined benefit plan (value not ascertainable) | See Endnote | N/A | | | None (or less than \$201) |
| 4 | The Dow Chemical Company, anticipated bonus | | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5 | Deferred compensation plan (vested deferred and performance shares of DowDuPont stock) | See Endnote | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 6 | ESOP Heritage UCC | | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |
| 7 | ESOP Heritage Dow Stock | | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 8 | DowDuPont ESOP Stock Fund | | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 9 | DowDuPont Inc | | N/A | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 |
| 10 | American Century Diversified Bond Fund (ADFIX) | | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 11 | American Funds EuroPacific Growth Fund Class F-2 (AEPFX) | | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 12 | Dodge & Cox Income Fund (DODIX) | | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 13 | Fidelity Advisor Mid Cap II Fund Class I (FIIMX) | | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|---|-----|--------------------|-------------|---------------------------|
| 14 | Fidelity Advisor New Insights Fund Class I (FINSX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 15 | The Hartford World Bond Fund Class I (HWDIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 16 | John Hancock Funds Disciplined Value Mid Cap Fund Class I (JVMIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 17 | MFS Value Fund Class I (MEIIX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 18 | American Funds New World Fund Class F-2 (NFFFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 19 | Northern Small Cap Value Fund (NOSGX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 20 | Oakmark International Small Cap Fund Investor Class (OAKEX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 21 | Oakmark International Fund Investor Class (OAKIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 22 | PIMCO Income Fund Class P (PONPX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 23 | Deutsche Enhanced Commodity Strategy Fund Institutional Class (SKIRX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 24 | T Rowe Price Real Estate (TRREX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 25 | Brown Capital Management Small Company Fund (BCSSX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 26 | Columbia Acorn International Fund Class R4 (CCIRX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 27 | Fidelity Advisor Mid Cap II Fund Class I (FIIMX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 28 | BlackRock MSCI ACWI ex-U.S. IMI Index Fund M | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|--|-----|-----------------------------|-------------|---------------------------|
| 29 | T. Rowe Price Institutional Mid-Cap Equity Growth Fund (PMEGX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 30 | Vanguard Extended Market (VEXMX) | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 31 | BlackRock LifePath 2025 (LPBIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 32 | Vanguard Extended Market Index Fund Institutional (VIEIX) | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 33 | DowDuPont Chemical Company (anticipated severance) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|--------------------------|--------------------|---|--------|
| 1 | The Dow Chemical Company | Midland, Michigan | I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. | 1/1999 |
| 2 | The Dow Chemical Company | Midland , Michigan | I will continue to participate in this defined benefit plan. | 1/1999 |
| 3 | The Dow Chemical Company | Midland, Michigan | Dow pays bonuses for the prior calendar year in March (so March 2018 for calendar year 2017). The amount is based on an objective formula related to the company's performance. I will not receive a bonus for any work performed in CY 2018. | 1/1999 |

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|-------------------|----------------------|--|--------|
| 4 | DowDupont, Inc. | Midland, Michigan | Deferred compensation plan. Given the recent merger, Dow is reviewing its functions and businesses company-wide and considering personnel and salary targets for reduction. As part of an involuntary separation package and consistent with established company policy, Dow will accelerate issuance of my deferred and performance shares. The shares will be released to me within 30 days of my retirement from Dow. | 1/1999 |
| 5 | DowDuPont, Inc. | Midland, Michigan | My participation in the employee stock ownership plan will cease upon my separation from the company. | 1/1999 |
| 6 | DowDuPont, Inc. | Midland, Michigan | Pursuant to my employment agreement, I will receive a lump sum severance payment within 90 days of my separation from the firm. | 1/1999 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|--------------------------|----------------------|---|
| 1 | The Dow Chemical Company | Midland, Michigan | I am an environmental lawyer for the company and I provide legal counsel with respect to transactions, remediation sites and regulatory compliance. |

5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|--|-----|--------------------|-------------|---------------------------|
| 1 | Fidelity Advisor Freedom 2025 Fund Class A (FATWX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 2 | Fidelity VIP Equity Income | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|--------------------------------------|-----|---------------------|-------------|---------------------------|
| 3 | Griffin Capital Essential Asset REIT | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 4 | Vanguard Trust Retirement 2025 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|--|-----|-----------------------|-------------|--------------------|
| 1 | US Credit Union (cash accounts) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 2 | Northwestern Mutual whole life insurance | N/A | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 |
| 3 | Northwestern Mutual whole life insurance | N/A | \$50,001 - \$100,000 | Dividends | \$5,001 - \$15,000 |
| 4 | Northwestern Mutual Adjustable Life Insurance (term/whole life hybrid) | N/A | \$15,001 - \$50,000 | Dividends | \$5,001 - \$15,000 |
| 5 | iShares Select Dividend ETF (DVY) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 6 | iShares S&P 500 Growth ETF (IVW) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 7 | SPDR S&P MidCap 400 ETF (MDY) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 8 | iShares U.S. Preferred Stock ETF (PFF) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 9 | Vanguard Small-Cap Value ETF (VBR) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 10 | Vanguard Mid-Cap Value ETF (VOE) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|---|-----|---------------------|-------------|-------------------|
| 11 | Vanguard Value ETF (VTV) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 12 | MFS Municipal High Income Fund Class 1 (MMHYX) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 13 | MFS International (MWNIX) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 14 | The Oakmark International Fund Investor Class (OAKIX) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 15 | Oppenheimer Developing Markets Fund Class Y (ODVYX) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 16 | Oppenheimer International Growth Fund Class Y (OIGYX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 17 | Prudential Short-Term Corporate Bond Fund, Inc Class (PIFZX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 18 | PIMCO Low Duration Fund Class P (PLDPX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 19 | Deutsche Enhanced Commodity Strategy Fund Institutional Class (SKIRX) | Yes | \$1,001 - \$15,000 | | \$1,001 - \$2,500 |
| 20 | Deutsche Managed Municipal Bond Fund Institutional Class (SMLIX) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 21 | Templeton Global Bond Fund Advisor Class (TGBAX) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 22 | Vanguard Inflation Protected Fund Admiral Shares (VAIPX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 23 | Vanguard Interm Bond Index Fund Admiral Shares (VBILX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 24 | Vanguard Short-Term Investment-Grade Fund Admiral Shares (VFSUX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 25 | Vanguard REIT Index Fund Admiral Shares (VGSLX) | Yes | \$1,001 - \$15,000 | | \$1,001 - \$2,500 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|--|-----|-----------------------------|-------------|---------------------------|
| 26 | Vanguard Limited Tax-Exempt Fund Admiral Shares | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 27 | Vanguard High-Yield Corporate Bond Fund Admiral Shares (VWEAX) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 28 | Catchmark Timber Trust | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 29 | Columbia Property Trust Inc. REIT | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 30 | Joint US Investment Account | No | | | |
| 30.1 | Ishares TR S&P 500 Growth ETF (IVW) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.2 | SPDR S&P MidCap 400 ETF (MDY) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 30.3 | MFS Municipal High Income Fund Class I (MMIIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.4 | MFS International New Discovery Fund Class I (MWNIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.5 | Oakmark International Fund Investor Class (OAKIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.6 | Oppenheimer Developing Markets Fund Class Y (ODVYX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.7 | Oppenheimer International Growth Fund Class Y (OIGYX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.8 | Ishares TR S&P U S PFD Index FD | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 30.9 | Prudential Short - Term Corporate Bond Fund, Inc Class | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.10 | Pimco Low Duration Fund Class P (PLDPX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|---|-----|--------------------|-------------|---------------------------|
| 30.11 | Deutsche Enhanced Commodity Strategy Fund Institutional (SKIRX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.12 | Deutsche Managed Municipal Bond Fund Institutional (SMLIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.13 | Templeton Global Fund Advisor Class (TGBAX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.14 | Vanguard Inflation Protected Admiral Shares (VAIPX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.15 | Vanguard Interm Term Bond Index Fund Admiral Shares (VBILX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.16 | Vanguard Small-Cap Value ETF (VBR) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.17 | Vanguard Short-Term Investment Grade Fund Admiral (VFSUX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.18 | Vanguard REIT Index Fund Admiral Shares (VGSLX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.19 | Vanguard Limited Tax - Exempt Fund Admiral Shares | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.20 | Vanguard Mid-Cap Value ETF (VOE) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.21 | Vanguard Value ETF (VTV) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 30.22 | Vanguard High Yield Corporate Bond Fund Admiral Shares | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 30.23 | Pimco High Yield Fund Class P (PHLPX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 30.24 | Russell Investment Grade Bond Fund Class S (RFATX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 31 | iShares TR Select Dividend ETF (DVY) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|--|-----|-----------------------|-------------|---------------------------|
| 32 | Vanguard Short Term Investment Grade Fund Investor (VFSTX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 33 | Northwest Mutual: Dreyfus Insured Deposits (cash accounts) | N/A | \$250,001 - \$500,000 | | \$201 - \$1,000 |

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

| # | CREDITOR NAME | TYPE | AMOUNT | YEAR INCURRED | RATE | TERM |
|---|---------------|--------------------------------|-----------------------|---------------|------|----------|
| 1 | PHH Mortgage | Mortgage on Personal Residence | \$100,001 - \$250,000 | 1999 | 3.5% | 30 years |

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

| PART | # | ENDNOTE |
|------|---|---|
| 2. | 1 | This reflects a salary in 2016 of \$228,852 and a bonus paid in 2016 of \$72,409 and a salary in 2017 of \$235,032 with a bonus of \$111,116. |

| PART | # | ENDNOTE |
|------|---|--|
| 2. | 3 | Based on the Company pension modeler if I leave the Company March 30, 2018 and begin to collect a pension in October, 2024 when I am 65 years old the monthly benefit for a single life annuity level benefit is \$10,798. 54. The estimated value account balance would be \$1,192,159. To my knowledge there is no option to have the total paid out. |
| 2. | 5 | Given the recent merger, Dow is reviewing its functions and businesses company-wide and considering personnel and salary targets for reduction. As part of an involuntary separation package and consistent with established company policy, Dow will accelerate issuance of my deferred and performance shares. The shares will be released to me within 30 days of my retirement from Dow. |

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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