U.S. Department of Labor

Employee Benefits Security Administration
Washington, D.C. 20210

MAR 23 2018

Daniel Dudis
Public Citizen
215 Pennsylvania Avenue SE
Washington, DC 20003

Dear Mr. Dudis:

This letter is in response to your Freedom of Information Act (FOIA) request number ***F2017-842611*** addressed to the U.S. Department of Labor (DOL). Your request was assigned to the Employee Benefits Security Administration (EBSA) for response. You are requesting records of communications between the U.S. Chamber of Commerce and the DOL's EBSA from January 1, 2016 to the present.

On February 5, 2018, we provided an interim response which included two pages responsive to your request. EBSA has completed its search for responsive records and located approximately 87 pages/records that are responsive to communications between the U.S. Chamber of Commerce and the DOL's EBSA from January 1, 2016 to the date of your request. These records are enclosed herewith and have been redacted to effect Exemption 6. Exemption 6 (5 U.S.C. 522(b)(6)) permits the withholding of information that would constitute an invasion of personal privacy.

In accordance the Department regulation 29 CFR 70.40(c)(l), dated May 30, 2006, requestors are charged for search, review, and reproduction costs. However, the fee is being waived since the total is under the de minimis costs threshold of $15.00 (29 CFR 70.43(a).)

If you would like to discuss any aspect of your request please do not hesitate to contact EBSA's FOIA Coordinator, Kathy Hoover at *hoover.kathy@dol.gov* or the DOL FOIA Public Liaison, Thomas Hicks, at 202-693-5427 or by email at *hicks.thomas@dol.gov*. Alternatively, you may contact the Office of Government Information Services (OGIS) within the National Archives and Records Administration (NARA) to inquire about the mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road, College Park, MD 20740-6001. You can also reach that office by e-mail at *ogis@nara.gov*, by phone at 202-741-5770, by fax at 202-741-5769, or by calling toll-free at 1-877-684-6448.

You may administratively appeal by writing to the Solicitor of Labor within 90 days from the date of this letter if you are not satisfied with the response to this request. The appeal must state in writing the grounds for the appeal, and it may include any supporting statements or arguments, but such statements are not required. In order to facilitate processing of the appeal, please include your mailing address and daytime telephone number, as well as a copy of the

initial request and copy of this letter. The envelope and letter of the appeal should be clearly marked "Freedom of Information Act Appeal." Any amendment to the appeal must be made in writing and received prior to a decision. The appeal should be addressed to the Solicitor of Labor, Division of Management and Administrative Legal Services, U.S. Department of Labor, 200 Constitution Avenue, NW, Room N2420, Washington, DC 20210. Appeals may also be submitted by email to *foiaappeal@dol.gov*. Appeals submitted to any other email address will not be accepted.

Sincerely,

Mark B. Connor
Director, Office of Outreach, Education and Assistance

Enclosures

U.S. CHAMBER OF COMMERCE

1615 H Street, NW
Washington, DC 20062-2000
www.uschamber.com

September 26, 2016

The Hon. Phyllis Borzi
Assistant Secretary of Labor
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Ave., NW
Washington, DC 20210

Re: Necessity of Extending the Applicability Date of the Fiduciary Rule Regulation and Associated Prohibited Transaction Exemptions

Secretary Borzi:

The U.S. Chamber of Commerce ("the Chamber") is the world's largest business organization representing the interests of more than 3 million businesses of all sizes, sectors, and regions. Most of our members sponsor retirement plans for their employees, and therefore have a fiduciary obligation to ensure that their plans are functioning properly for their participants and beneficiaries.

Based on conversations with our diverse membership, we are requesting an extension of the applicability date of the fiduciary regulation because the current 12 month applicability date is simply too short, and will result in significant disruptions to our members' plans. Our members are also very concerned about the ability of their retirement plan participants to receive advice regarding rollovers and other distributions from their plans to Individual Retirement Accounts ("IRAs"), which are essential services for retirement planning and retirement asset portability.

When the final fiduciary regulation was published over five months ago, we expressed our serious concern that 12 months simply was not enough time for plans and service providers to make the extremely broad changes required to comply with the new rules. We noted that the Department of Labor ("the Department") frequently provides longer implementation periods for far less significant regulations, and predicted that such a short implementation period for one of the most sweeping rules ever issued by the Department likely would result in material disruptions for

plans and participants. Now, with less than seven months left before the rule applies, it is clear that our concern was well-founded. Despite best efforts, made in good faith, by service providers and plan fiduciaries, and despite spending hundreds of millions of dollars already, many financial services providers are at real risk of being unable to comply with the new requirements by April 10, 2017.

Further, despite its promises to do so, the Department has issued no guidance or other interpretive assistance to address the wide range of crucial questions and concerns raised by the regulated community. While the Department did provide technical corrections, these were largely corrections of typographical and similar errors, not guidance needed to implement broad new rules fundamentally changing the provision of advice and other financial services to more than $15 trillion in retirement savings. The scope of change is incredibly broad, and the financial consequences of making a mistake are immense.

DOL mandated that a vast segment of the financial services industry fundamentally change how it services plans and IRAs, and created new legal obligations and liabilities, but has not provided essential guidance to interpret final rules that the Department itself acknowledged would not by themselves be sufficient to address implementation questions. We are nearly at the halfway mark, and many service providers are still trying to understand their obligations, develop their business plans for compliance, and create training modules for thousands of advisors and staff, making it increasingly unlikely that they will have the necessary time to implement the technological and procedural changes needed to comply by April 10.

In recognition of this reality, we request that the Department amend the fiduciary regulation to extend the applicability date from April 10, 2017 to at least April 10, 2018, and to similarly extend the applicability date of the full requirements of the Best Interest Contract Exemption from January 1, 2018 to January 1, 2019.

We originally requested in our comment letters three years to implement these rules properly, and we still believe that amount of time to be necessary for a carefully considered and orderly transition to the new standards. However, the roughly 12 additional months we request here is the bare minimum necessary to make it possible for any practicable transition to occur. We make this request because our members and their workers need a functional retirement system.

The Chamber would appreciate the opportunity to discuss these concerns in more detail at the Department's convenience.

Sincerely,

Randel Johnson
Senior Vice President
Labor Immigration and
Employee Benefits

David Hirschmann
President
Center for Capital Markets
Competiveness

U.S. Department of Labor

Assistant Secretary for
Employee Benefits Security Administration
Washington, D.C. 20210

DEC 21 2016

Randel Johnson
Senior Vice President
Labor, Immigration, and Employee Benefits
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062-2000

David Hirschmann
President
Center for Capital Markets Competitiveness
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062-2000

Dear Messrs. Johnson and Hirschmann:

I am writing in response to your letter requesting a twelve-month extension of the applicability dates of the Department of Labor's conflict of interest rule on fiduciary investment advice, and related prohibited transactions exemptions, published in the Federal Register on April 8, 2016.

Hardworking Americans deserve access to retirement advice that is in their best interest and that is not tainted by their advisers' conflicts of interest. This is the simple premise underlying the Department's rule and exemptions. Middle-class retirement savers especially need to receive advice that is in their best interest, because they can least afford to have their savings dissipated by unnecessarily high hidden fees and poor performing investments. Every year, retirement investors lose billions of dollars because of conflicted advice. The Department expects that the final fiduciary rule and related exemptions will both improve investor outcomes and increase the likelihood that middle-class investors will seek retirement advice in the first place, as they can now trust that their adviser will put their best interests first, resulting in better advice and lower costs.

The Department recognized that firms and advisers would need time to adjust to the new regulatory framework, and gave them an extended period to come into compliance. In the preamble to the final rule, the Department noted that it was important for the rule to become effective on the earliest possible date to provide certainty to plans, plan fiduciaries, plan participants, beneficiaries and IRA owners, as well as to service providers that the rule is final and not subject to further amendment or modification. However, at the same time, the Department determined that an applicability date of one year after the publication of the final rule in the Federal Register was appropriate and should provide enough time for plans and their affected service providers to adjust to the basic change from non-fiduciary to fiduciary status.

Additionally, the Department provided a further transition period under the Best Interest Contract Exemption with a full compliance deadline delayed to January 1, 2018, still well over a year away. *See* 81 FR 20992, 20993 (April 8, 2016). During that transition period, affected service providers will only be required to comply with more limited conditions, including acknowledging their fiduciary status, adhering to the best interest standard, and making basic disclosures of conflicts of interest.

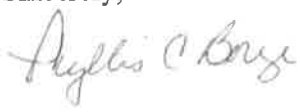
Even after the applicability date of the new rule, the Department's general approach to implementation will be marked by an emphasis on assisting, rather than citing violations and imposing penalties on, plans, plan fiduciaries, financial institutions and others who are working diligently and in good faith to understand and come into compliance with the new rule and exemptions. The Department has been and will continue working together with plan sponsors, financial institutions, recordkeepers, insurance companies and other stakeholders to help them come into compliance with the new rule and exemptions. We recognize that there will be a period of adjustment as firms work to comply with these important reforms, but we are very encouraged by the many discussions we have had with affected service providers, as well as by the many steps that firms have already taken to position themselves to compete in a market governed by a best interest standard, and to ensure timely compliance.

Our collaborations with stakeholders resulted in our recent release of a first set of frequently asked questions (FAQs) that focus on the prohibited transaction exemptions, such as the Best Interest Contract Exemption and prohibited transaction exemption (PTE) 84-24. While these FAQs are certainly designed to facilitate compliance, they provide no support for extending the applicability dates, and in no way justify any failure to prepare for timely compliance. We expect to soon release additional FAQs, which will focus on more definitional questions regarding the rule itself and on issues that are of interest to consumers. We expect to continue to release FAQs on a rolling basis, as appropriate. If you have specific additional questions that you would like us to address, we would welcome your suggestions.

We do not believe it would be consistent with the sound administration of the law or in the best interests of America's retirement savers for the Department to extend further the applicability dates of these important consumer protection reforms, especially given the significance of the continuing monetary harm that would occur to retirement investors without the rule's changes.

We appreciate your interest in this important issue affecting the retirement security of millions of America's workers and their families, and we look forward to continuing to work with you and your members on implementing these important consumer protection reforms.

Sincerely,



Phyllis C. Borzi
Assistant Secretary

(b) (6) [redacted] - EBSA

From: Turner, Amy - EBSA
Sent: Tuesday, February 06, 2018 8:47 AM
To: (b) (6) [redacted] - EBSA
Subject: FW: Thank you!

Follow Up Flag: Follow up
Flag Status: Flagged

From: Turner, Amy - EBSA
Sent: Friday, January 26, 2018 3:27 PM
To: 'Mahoney, Katie'; Rutledge, Preston - EBSA
Subject: RE: Thank you!

Katie, It is always great to see you and the pleasure was all ours yesterday! Looking forward to your comments and our continuing dialogue on AHPs and other issues!

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Friday, January 26, 2018 9:31 AM
To: Rutledge, Preston - EBSA; Turner, Amy - EBSA
Subject: Thank you!

Just a note of thanks for your time yesterday. Great seeing you and really helpful discussion! Have a great weekend.
Katie

Sent from my Verizon, Samsung Galaxy smartphone

(b) (6) [redacted] - EBSA

From: Turner, Amy - EBSA
Sent: Tuesday, February 06, 2018 8:46 AM
To: (b) (6) [redacted] - EBSA
Subject: FW: 10/12 event on opioid epidemic
Attachments: DRAFT AGENDA Opioids in the Workplace - October 12_ (5).doc

Follow Up Flag: Follow up
Flag Status: Flagged

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Wednesday, September 27, 2017 5:10 PM
To: Mahoney, Katie
Cc: Vredenburgh, Anna
Subject: 10/12 event on opioid epidemic

Dear Colleagues,

We invite you to attend this event and share this invitation and agenda broadly with your contacts.

On October 12th, the Chamber in collaboration with the National Safety Council will host a half day event titled: "The Opioid Epidemic: From the Front Lines to the Boardroom - Best Practices & Recommendations for Addressing Substance Use."

Please find the agenda attached and we look forward to seeing you as we explore solutions to address this very severe and dangerous epidemic.

Thank you,
Katie Mahoney

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

The Opioid Epidemic: From the Front Lines to the Boardroom

Best Practices & Recommendations for Addressing Substance Use

U.S. Chamber of Commerce
1615 H Street, NW ◆ Washington, DC
October 12, 2017
8:30 a.m. – 12:30 p.m.

8:30 a.m. – 9:00 a.m.	**Registration & Networking Breakfast**
9:00 a.m. – 9:10 a.m.	**Welcoming Remarks**
	• Suzanne Clark Senior Executive Vice President U.S. Chamber of Commerce
9:10 a.m. – 9:30 a.m.	**National Safety Council Study Overview**
	• Debbie Hersman President & Chief Executive Officer National Safety Council
	• Eric Goplerud Vice President, Public Health Department NORC at the University of Chicago
9:30 a.m. – 10:00 a.m.	**Project Engage – A Community Effort**
	• Terry Horton, MD Chief, Division of Addiction Medicine Christiana Care Health System Medical Director Project Engage

10:00 a.m. – 10:30 a.m. **Political Response**

- Congressman Hal Rogers (R-KY) *(invited)*
  U.S. House of Representatives
- Congressman Tim Ryan (D-OH) *(invited)*
  U.S. House of Representatives

Moderated by: Debbie Hersman,
President & Chief Executive Officer
National Safety Council

10:30 a.m. – 11:15 a.m. **Agency Response**

- John Dreyzehner, MD
  Commissioner, Department of Health
  State of Tennessee
- Richard Baum
  Acting Director
  Office of National Drug Control Policy
- Ron Flegel
  Director, Division of Workplace Programs
  Center of Substance Abuse Prevention
  Substance Abuse and Mental Health Services Administration

Moderated by: The Honorable Mary Bono Mack
Principal
Faegre Baker Daniels Consulting

11:15 a.m. – 12:15 p.m. **New Approaches: Employer Case Studies**

- Dr. Neal Mills
  Senior Vice President, Health and Benefits
  Aon Hewitt
- Lisa Allen
  President & Chief Executive Officer
  Ziegenfelder Company
- Eric Bailly
  Business Solutions Director
  Anthem, Inc.
- Kathleen Herath *(invited)*
  Associate Vice President, Health & Productivity
  Nationwide Insurance
- Heather Healy
  Manager
  Flight Attendants Drug & Alcohol Program

Moderated by: Randy Johnson
Senior Vice President, Labor, Immigration & Employee Benefits Division
U.S. Chamber of Commerce

12:15 p.m. – 12:30 p.m. **Closing Remarks & Next Steps**

- Brenda Fitzgerald, MD *(invited)*
  Director
  Centers for Disease Control and Prevention
- Randy Johnson
  Senior Vice President, Labor, Immigration & Employee Benefits Division
  U.S. Chamber of Commerce
- Debbie Hersman
  President & Chief Executive Officer
  National Safety Council

12:30 p.m. **Adjourn**

(b) (6) [redacted] - EBSA

From: Turner, Amy - EBSA
Sent: Tuesday, February 06, 2018 8:47 AM
To: (b) (6) [redacted] - EBSA
Subject: FW: Hold for Meeting with the Chamber of Commerce

Follow Up Flag: Follow up
Flag Status: Flagged

From: Turner, Amy - EBSA
Sent: Wednesday, January 24, 2018 10:08 AM
To: 'Mahoney, Katie'
Subject: RE: Hold for Meeting with the Chamber of Commerce

That is great to hear, and I look forward to hearing more. My other meeting is moved. Bam! I will see you on Thursday!

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Wednesday, January 24, 2018 9:59 AM
To: Turner, Amy - EBSA
Subject: RE: Hold for Meeting with the Chamber of Commerce

Hey there Amy! Hope to see you Thursday! We are very optimistic about the NPRM. It is really very well written!

From: Turner, Amy - EBSA [mailto:Turner.Amy@dol.gov]
Sent: Tuesday, January 23, 2018 9:15 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Hold for Meeting with the Chamber of Commerce

Hey Katie! So good to see your name. I think the AHP proposal will be really good for a lot of your members!! We had a great call with the Las Vegas Chamber last week, in fact. Hoping the scheduling works out so that I can see you in person. If it lands at this Thursday 4pm time, I might have a potential conflict, but I'm hoping the scheduling gods will see fit to eliminate any conflicts!! =)

-----Original Appointment-----
From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:33 PM
To: kmahoney@USChamber.com; Hauser, Timothy - EBSA; Turner, Amy - EBSA; Canary, Joe - EBSA; Scott, William - SOL; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Subject: Hold for Meeting with the Chamber of Commerce
When: Thursday, January 25, 2018 4:00 PM-5:00 PM (UTC-05:00) Eastern Time (US & Canada).
Where: S2524

From: Gatesman, Valerie - EBSA

Sent: Tuesday, January 23, 2018 7:29 PM
To: 'kmahoney@USChamber.com'
Subject: FW: Meeting with Assistant Secretary Rutledge

Good Evening,

Earlier this evening I left voicemail with you regarding your request for a meeting with Assistant Secretary Rutledge. Please disregard that voicemail. Mr. Rutledge has availability at 4:00 to 5:00 pm on Thursday, January 25th. Please let me know if this is workable for your group.

If you could, please provide a list of the other attendees from the U.S. Chamber of Commerce and the Louisiana Association of Business and Industry who will also be attending.

Many thanks and please feel free to call with any questions,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

(b) (6) - EBSA

From: Turner, Amy - EBSA
Sent: Tuesday, February 06, 2018 8:48 AM
To: (b) (6) - EBSA
Subject: FW: Hold for Meeting with the Chamber of Commerce
Attachments: Hold for Meeting with the Chamber of Commerce.ics

Follow Up Flag: Follow up
Flag Status: Flagged

(b) (6) - EBSA

From: Turner, Amy - EBSA
Sent: Tuesday, February 06, 2018 8:45 AM
To: (b) (6) - EBSA
Subject: FW: Hold for Meeting with the Chamber of Commerce

Follow Up Flag: Follow up
Flag Status: Flagged

Correspondence with the U.S. Chamber of Commerce, in response to the FOIA request. More to come.

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Wednesday, January 24, 2018 9:59 AM
To: Turner, Amy - EBSA
Subject: RE: Hold for Meeting with the Chamber of Commerce

Hey there Amy! Hope to see you Thursday! We are very optimistic about the NPRM. It is really very well written!

From: Turner, Amy - EBSA [mailto:Turner.Amy@dol.gov]
Sent: Tuesday, January 23, 2018 9:15 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Hold for Meeting with the Chamber of Commerce

Hey Katie! So good to see your name. I think the AHP proposal will be really good for a lot of your members!! We had a great call with the Las Vegas Chamber last week, in fact. Hoping the scheduling works out so that I can see you in person. If it lands at this Thursday 4pm time, I might have a potential conflict, but I'm hoping the scheduling gods will see fit to eliminate any conflicts!! =)

-----Original Appointment-----
From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:33 PM
To: kmahoney@USChamber.com; Hauser, Timothy - EBSA; Turner, Amy - EBSA; Canary, Joe - EBSA; Scott, William - SOL; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Subject: Hold for Meeting with the Chamber of Commerce
When: Thursday, January 25, 2018 4:00 PM-5:00 PM (UTC-05:00) Eastern Time (US & Canada).
Where: S2524

From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:29 PM
To: 'kmahoney@USChamber.com'
Subject: FW: Meeting with Assistant Secretary Rutledge

Good Evening,

Earlier this evening I left voicemail with you regarding your request for a meeting with Assistant Secretary Rutledge. Please disregard that voicemail. Mr. Rutledge has availability at 4:00 to 5:00 pm on Thursday, January 25th. Please let me know if this is workable for your group.

If you could, please provide a list of the other attendees from the U.S. Chamber of Commerce and the Louisiana Association of Business and Industry who will also be attending.

Many thanks and please feel free to call with any questions,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

(b) (6) - EBSA

From: Gatesman, Valerie - EBSA
Sent: Sunday, February 04, 2018 9:32 AM
To: (b) (6) - EBSA; Marchand, Becki - EBSA; (b) (6) - EBSA; (b) (6) (b) (6) - EBSA; Suttora, Catherine - EBSA
Cc: (b) (6) - EBSA
Subject: RE: TAIS/and correspondence request F2017-842611
Attachments: F2017-842611 U.S. Chamber of Commerce.pdf

Hi everyone –

Per Paul Ray's request to Preston, I scheduled a between with Preston, other EBSA staff, and Katie Mahoney of the U.S. Chamber of Commerce and its Louisiana group on January 25, 2018. Attached is my e-mail traffic for the meeting set up. By copy of this e-mail, I'll request (b) (6) look for any other hard copy materials that are on file for Preston from that meeting.

Best,
Val

From: (b) (6) - EBSA
Sent: Friday, February 02, 2018 3:17 PM
To: Gatesman, Valerie - EBSA; Marchand, Becki - EBSA; (b) (6) - EBSA; (b) (6) - EBSA; (b) (6) (b) (6) - EBSA; Suttora, Catherine - EBSA; Henderson, Jennifer - EBSA; (b) (6) - EBSA; (b) (6) - EBSA
Cc: (b) (6) - EBSA; (b) (6) - EBSA; Motta, Christopher - EBSA; (b) (6) - EBSA; (b) (6) - EBSA
Subject: TAIS/and correspondence request F2017-842611

Good Afternoon All,

OEA received a FOIA request (mentioned above) for communications between DOL's EBSA and the U.S. Chamber of Commerce. We have located one record that was in SIMS correspondence. I need to confirm if any offices have received any correspondence or have records of meetings, phone calls, and documents that were discussed and/or memorialized these meetings and/or phone calls. Please notify me with your search results by email at (b) (6) @dol.gov or deliver them to N5623. If no records are located, please provide a **no records response**.

Search Criteria/Time Frame: EBSA and the U.S. Chamber of Commerce from January 1, 2016 to the present.

Thank you for you cooperation in advance.

(b) (6)

Program Analyst/FOIA
Employee Benefits Security Administration
United States Department of Labor

200 Constitution Avenue NW, Room (b) (6)
Washington, DC 20210
E-mail: (b) (6)
Telephone #: (b) (6)
Fax #: (b) (6)

Gatesman, Valerie - EBSA

From: Gatesman, Valerie - EBSA
Sent: Monday, January 29, 2018 10:35 AM
To: 'Mahoney, Katie'
Subject: RE: Confirming for 4 pm today with AS Rutledge

Jeanne Klinefelter Wilson, Deputy Assistant Secretary for Policy, EBSA

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Monday, January 29, 2018 9:52 AM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

The woman other than amy?

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/29/18 9:51 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

William Scott, Associate Solicitor of Labor, Plan Benefits Security Division, Office of the Solicitor
Amy Turner, Director of Health Plan Standards and Compliance Assistance, EBSA
Joe Canary, Director of Regulations and Interpretations, EBSA
Mark Dundee, Senior Policy Advisor, EBSA

I'm thinking everyone else there provided business cards....

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Monday, January 29, 2018 9:30 AM
To: Gatesman, Valerie - EBSA
Subject: RE: Confirming for 4 pm today with AS Rutledge

Valerie,
Thank you for coordinating our meeting with Preston, Amy etc. on Thursday.
Would you please share the names and titles of all the other DOL staff in attendance. Some arrived late and didn't have business cards and so it was difficult to catch everyone's names and roles within the department.
Thank you!
Katie

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce

1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

From: Gatesman, Valerie - EBSA [mailto:Gatesman.Valerie@dol.gov]
Sent: Thursday, January 25, 2018 3:27 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

Frances Perkins Building, U.S. Department of Labor.
200 Constitution Avenue, N.W. Washington, DC 20210

Guests can't enter the building on Constitution Avenue entrance, however,

Visitors enter at the 3rd and C Street entrance.

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 25, 2018 3:25 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

What is the address of the Francis building

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: "[redacted] - EBSA" <[redacted]@dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is [redacted] and that you are meeting with AS Rutledge in Suite South 2524. The guards will call [redacted] to escort you to your meeting.

Please call me or [redacted] if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Monday, January 29, 2018 9:52 AM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

The woman other than amy?

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/29/18 9:51 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

William Scott, Associate Solicitor of Labor, Plan Benefits Security Division, Office of the Solicitor
Amy Turner, Director of Health Plan Standards and Compliance Assistance, EBSA
Joe Canary, Director of Regulations and Interpretations, EBSA
Mark Dundee, Senior Policy Advisor, EBSA

I'm thinking everyone else there provided business cards....

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Monday, January 29, 2018 9:30 AM
To: Gatesman, Valerie - EBSA
Subject: RE: Confirming for 4 pm today with AS Rutledge

Valerie,
Thank you for coordinating our meeting with Preston, Amy etc. on Thursday.
Would you please share the names and titles of all the other DOL staff in attendance. Some arrived late and didn't have business cards and so it was difficult to catch everyone's names and roles within the department.
Thank you!
Katie

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

From: Gatesman, Valerie - EBSA [mailto:Gatesman.Valerie@dol.gov]
Sent: Thursday, January 25, 2018 3:27 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

Frances Perkins Building, U.S. Department of Labor.
200 Constitution Avenue, N.W. Washington, DC 20210

Guests can't enter the building on Constitution Avenue entrance, however,

Visitors enter at the 3rd and C Street entrance.

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 25, 2018 3:25 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

What is the address of the Francis building

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: '[redacted] - EBSA" <[redacted]@dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is [redacted] and that you are meeting with AS Rutledge in Suite South 2524. The guards will call [redacted] to escort you to your meeting.

Please call me or [redacted] if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Monday, January 29, 2018 9:50 AM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

And the others?

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/29/18 9:47 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

Good Morning,

Tim Hauser, Deputy Assistant Secretary for Program Operations was the person who arrived late.

Best,

Val

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Monday, January 29, 2018 9:30 AM
To: Gatesman, Valerie - EBSA
Subject: RE: Confirming for 4 pm today with AS Rutledge

Valerie,
Thank you for coordinating our meeting with Preston, Amy etc. on Thursday.
Would you please share the names and titles of all the other DOL staff in attendance. Some arrived late and didn't have business cards and so it was difficult to catch everyone's names and roles within the department.
Thank you!
Katie

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

From: Gatesman, Valerie - EBSA [mailto:Gatesman.Valerie@dol.gov]
Sent: Thursday, January 25, 2018 3:27 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

Frances Perkins Building, U.S. Department of Labor.
200 Constitution Avenue, N.W. Washington, DC 20210

Guests can't enter the building on Constitution Avenue entrance, however,

Visitors enter at the 3rd and C Street entrance.

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 25, 2018 3:25 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

What is the address of the Francis building

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: "[redacted] - EBSA" <[redacted]@dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is [redacted] and that you are meeting with AS Rutledge in Suite South 2524. The guards will call [redacted] to escort you to your meeting.

Please call me or [redacted] if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Monday, January 29, 2018 9:30 AM
To: Gatesman, Valerie - EBSA
Subject: RE: Confirming for 4 pm today with AS Rutledge

Valerie,
Thank you for coordinating our meeting with Preston, Amy etc. on Thursday.
Would you please share the names and titles of all the other DOL staff in attendance. Some arrived late and didn't have business cards and so it was difficult to catch everyone's names and roles within the department.
Thank you!
Katie

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

From: Gatesman, Valerie - EBSA [mailto:Gatesman.Valerie@dol.gov]
Sent: Thursday, January 25, 2018 3:27 PM
To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: Confirming for 4 pm today with AS Rutledge

Frances Perkins Building, U.S. Department of Labor.
200 Constitution Avenue, N.W. Washington, DC 20210

Guests can't enter the building on Constitution Avenue entrance, however,

Visitors enter at the 3rd and C Street entrance.

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 25, 2018 3:25 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

What is the address of the Francis building

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------

From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: "(b) (6) - EBSA" <(b) (6) @dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is (b) (b) (6) and that you are meeting with AS Rutledge in Suite South 2524. The guards will call (b) (6) to escort you to your meeting.

Please call me or (b) (6) if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Thursday, January 25, 2018 9:55 AM
To: Gatesman, Valerie - EBSA
Cc: [redacted] - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

Thank you. Looking forward to the meeting this afternoon.
Best,
Katie Mahoney

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: "[redacted] - EBSA" <[redacted]@dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is [redacted] and that you are meeting with AS Rutledge in Suite South 2524. The guards will call [redacted] to escort you to your meeting.

Please call me or [redacted] if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Thursday, January 25, 2018 3:25 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Confirming for 4 pm today with AS Rutledge

What is the address of the Francis building

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/25/18 9:25 AM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Cc: "[redacted] - EBSA" <[redacted]@dol.gov>
Subject: Confirming for 4 pm today with AS Rutledge

Good Morning –

If it's not too much trouble, please confirm for the 4:00 pm meeting this afternoon. When you arrive at the DOL's Frances Perkins Building, all members of your group will need to present a government issued photo ID to the building guards. Please advise them that your contact for the meeting is [redacted] and that you are meeting with AS Rutledge in Suite South 2524. The guards will call [redacted] to escort you to your meeting.

Please call me or [redacted] if you have any further questions.

We look forward to seeing you all soon,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Tuesday, January 23, 2018 7:34 PM
To: Gatesman, Valerie - EBSA
Subject: Accepted: Hold for Meeting with the Chamber of Commerce

When: Jan 25, 2018 4:00:00 PM
Where: S2524

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Tuesday, January 23, 2018 7:34 PM
To: Gatesman, Valerie - EBSA
Subject: Accepted: Hold for Meeting with the Chamber of Commerce

When: Jan 25, 2018 4:00:00 PM
Where: S2524

Gatesman, Valerie - EBSA

Subject:	FW: Hold for Meeting with the Chamber of Commerce
Location:	S2524
Start:	Thu 1/25/2018 4:00 PM
End:	Thu 1/25/2018 5:00 PM
Recurrence:	(none)
Meeting Status:	Accepted
Organizer:	Gatesman, Valerie - EBSA
Required Attendees:	Gatesman, Valerie - EBSA; kmahoney@USChamber.com; Hauser, Timothy - EBSA; Turner, Amy - EBSA; Canary, Joe - EBSA; Scott, William - SOL; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Optional Attendees:	Rutledge, Preston - EBSA; Dorey, David R - SOL

-----Original Appointment-----
From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:33 PM
To: Gatesman, Valerie - EBSA; kmahoney@USChamber.com; Hauser, Timothy - EBSA; Turner, Amy - EBSA; Canary, Joe - EBSA; Scott, William - SOL; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Subject: Hold for Meeting with the Chamber of Commerce
When: Thursday, January 25, 2018 4:00 PM-5:00 PM (UTC-05:00) Eastern Time (US & Canada).
Where: S2524

From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:29 PM
To: 'kmahoney@USChamber.com'
Subject: FW: Meeting with Assistant Secretary Rutledge

Good Evening,

Earlier this evening I left voicemail with you regarding your request for a meeting with Assistant Secretary Rutledge. Please disregard that voicemail. Mr. Rutledge has availability at 4:00 to 5:00 pm on Thursday, January 25th. Please let me know if this is workable for your group.

If you could, please provide a list of the other attendees from the U.S. Chamber of Commerce and the Louisiana Association of Business and Industry who will also be attending.

Many thanks and please feel free to call with any questions,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

Gatesman, Valerie - EBSA

From: Mahoney, Katie <kmahoney@USChamber.com>
Sent: Tuesday, January 23, 2018 7:31 PM
To: Gatesman, Valerie - EBSA
Subject: Re: Meeting with Assistant Secretary Rutledge

Awesome! Thanks

Sent from my Verizon, Samsung Galaxy smartphone

-------- Original message --------
From: "Gatesman, Valerie - EBSA" <Gatesman.Valerie@dol.gov>
Date: 1/23/18 7:29 PM (GMT-05:00)
To: "Mahoney, Katie" <kmahoney@USChamber.com>
Subject: FW: Meeting with Assistant Secretary Rutledge

Good Evening,

Earlier this evening I left voicemail with you regarding your request for a meeting with Assistant Secretary Rutledge. Please disregard that voicemail. Mr. Rutledge has availability at 4:00 to 5:00 pm on Thursday, January 25th. Please let me know if this is workable for your group.

If you could, please provide a list of the other attendees from the U.S. Chamber of Commerce and the Louisiana Association of Business and Industry who will also be attending.

Many thanks and please feel free to call with any questions,

Val

Valerie A. Gatesman
Special Assistant
Office of the Assistant Secretary
Employee Benefits Security Administration
(202) 693-8312

(b) (6) - EBSA

Subject:	Hold for Meeting with the Chamber of Commerce
Location:	S2524
Start:	Thu 1/25/2018 4:00 PM
End:	Thu 1/25/2018 5:00 PM
Show Time As:	Tentative
Recurrence:	(none)
Meeting Status:	Not yet responded
Organizer:	Gatesman, Valerie - EBSA

From: Gatesman, Valerie - EBSA
Sent: Tuesday, January 23, 2018 7:29 PM
To: 'kmahoney@USChamber.com'
Subject: FW: Meeting with Assistant Secretary Rutledge

Good Evening,

Earlier this evening I left voicemail with you regarding your request for a meeting with Assistant Secretary Rutledge. Please disregard that voicemail. Mr. Rutledge has availability at 4:00 to 5:00 pm on Thursday, January 25th. Please let me know if this is workable for your group.

If you could, please provide a list of the other attendees from the U.S. Chamber of Commerce and the Louisiana Association of Business and Industry who will also be attending.

Many thanks and please feel free to call with any questions,

Val

Valerie A. Gatesman

Special Assistant

Office of the Assistant Secretary

Employee Benefits Security Administration

(202) 693-8312

Gatesman, Valerie - EBSA

From: Ray, Paul J - OSEC
Sent: Thursday, January 18, 2018 6:13 PM
To: Rutledge, Preston - EBSA; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Cc: Gatesman, Valerie - EBSA
Subject: RE: meeting and questions

I actually don't know who they are—but yes, all that sounds right to me.

Paul J. Ray
Counselor to the Secretary
U.S. Department of Labor
Tel: (202) 693-6070

From: Rutledge, Preston - EBSA
Sent: Thursday, January 18, 2018 6:11 PM
To: Ray, Paul J - OSEC; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Cc: Gatesman, Valerie - EBSA
Subject: RE: meeting and questions

Glad to meet them. But I'll have Val needs to vet them to be sure they're not, e.g., suing us.

LABI is a trade group, correct?

From: Ray, Paul J - OSEC
Sent: Thursday, January 18, 2018 6:04 PM
To: Rutledge, Preston - EBSA; Wilson, Jeanne - EBSA; Dundee, Mark - EBSA
Subject: FW: meeting and questions

Something any of you are interested in?

Paul J. Ray
Counselor to the Secretary
U.S. Department of Labor
Tel: (202) 693-6070

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 18, 2018 3:27 PM
To: Ray, Paul J - OSEC
Subject: RE: meeting and questions

Thank you. I would love to take you up on your offer to meet in the future.
Is there someone else on your team or working on AHPs with whom we could bring LABI's CEO to come in and speak on 1/25 when he is in DC?

From: Ray, Paul J - OSEC [mailto:Ray.Paul.J@dol.gov]
Sent: Thursday, January 18, 2018 1:58 PM

To: Mahoney, Katie <kmahoney@USChamber.com>
Subject: RE: meeting and questions

Hi Katie—good seeing you yesterday! Unfortunately I don't get back from Davos until late Friday. But happy to meet sometime the week of 1/29 (or tomorrow if that's of interest).

Paul J. Ray
Counselor to the Secretary
U.S. Department of Labor
Tel: (202) 693-6070

From: Mahoney, Katie [mailto:kmahoney@USChamber.com]
Sent: Thursday, January 18, 2018 11:58 AM
To: Ray, Paul J - OSEC
Subject: meeting and questions

Good morning!
I was hoping to set up some time on Thursday 1/25 to come in to meet with you and your team.
The CEO of the LABI (Louisiana Association of Business and Industry) will be in town and he and his staff are interested in talking with you about the NPRM. Would you have some time that day or will you be in Davos?
Thank you!
Katie

Katie Mahoney
Executive Director, Health Policy
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC 20062
202-463-5825
kmahoney@uschamber.com

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, December 22, 2017 12:38 PM
Subject: Chamber Report on the Multiemployer Pension Crisis

Good morning,

This week, the Chamber issued a report, *The Multiemployer Pension Plan Crisis: The History, Legislation, and What's Next?* . We also ran the following piece in the Chamber's Above the Fold. As we head into the new year, the Chamber looks forward to working with all of you to resolve this crisis. If you have any questions or concerns, please do not hesitate to contact me.

Happy Holidays!

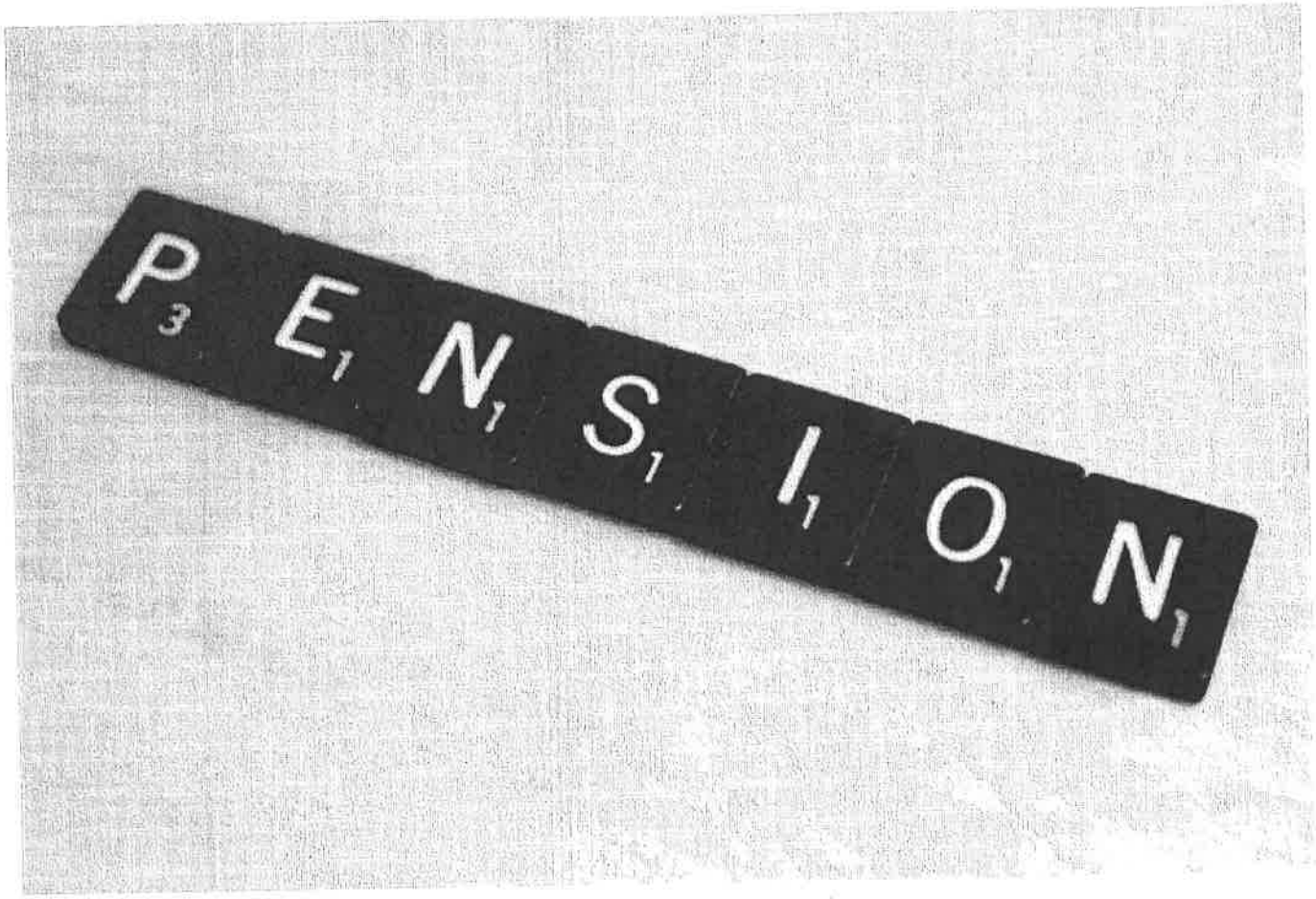
---

https://www.uschamber.com/series/above-the-fold/why-you-should-care-about-the-multiemployer-pension-crisis

Why You Should Care About the Multiemployer Pension Crisis

DEC 20, 2017 - 4:00PM

ALIYA WONG
Executive Director, Retirement Policy



In the midst of debates over tax reform, budgets, and national security, there is a little-known crisis rising — multiemployer pension funding. You may have heard of the multiemployer pension crisis and thought of it as an unfortunate issue, but one that had nothing to do with you. Well, without a legislative resolution, you could be affected.

Currently, over one million retirees in multiemployer plans are in danger of losing benefits because the plans that pay them will go bankrupt. In addition, the federal agency that acts as a backstop — the Pension Benefit Guaranty Corporation — is also in danger of bankruptcy. Without a resolution to this crisis, there could be millions lost in retirement benefits. This loss alone will put a strain on local economies where these retirees will have less money for consumption and some may be forced to rely on state assistance.

There will also be an adverse impact on employers in these plans. Because of the current rules, employers cannot leave these plans without paying large sums or claiming bankruptcy. Both of these results negatively impact the ability to provide jobs, make capital investments, or increase salaries. Finally, taxpayers may ultimately be on the hook if Congress decides to replace the lost benefits with taxpayer funding. Even if Congress does not provide direct assistance, all will feel the consequences of fewer jobs, less consumerism, and greater reliance on state programs.

The story of multiemployer plans is complicated. To provide context, the U.S. Chamber has issued a report, *The Multiemployer Pension Plan Crisis: The History, Legislation, and What's Next?* The report provides an overview of the current multiemployer crisis, an in-depth analysis of the events leading up to the crisis, attempts to fix it, and the current proposals to address the crisis.

There will not be any easy solutions to this crisis but if nothing is done, the consequences will be devastating. We call on all interested parties — and all parties should be interested — to encourage Congress to find a solution that minimizes the negative impact of this crisis.

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, October 13, 2017 2:24 PM
To: (b) (6) - EBSA
Subject: PBGC Advisory Board

Hey (b),

Always good to chat☺. Here are a couple of names...

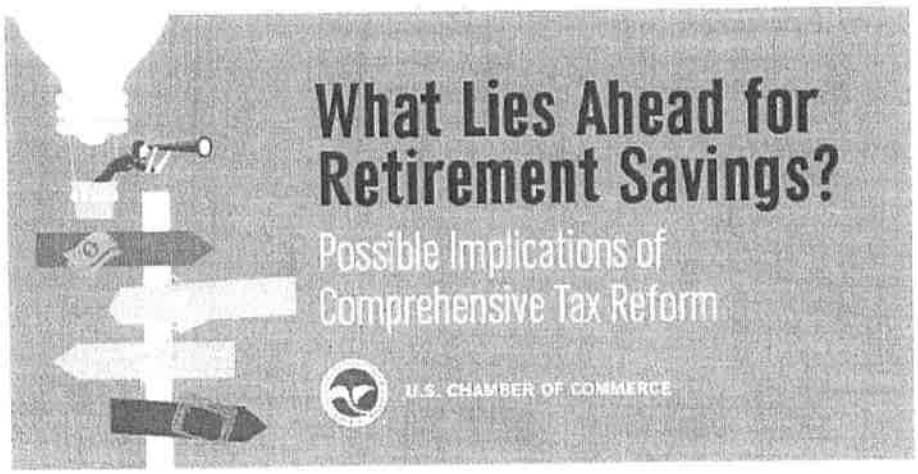
Herve Aitken - http://www.fordharrison.com/ford-harrison-expands-washington-dc-office-with-the-addition-of-three-lawyers (It's an old bio but will give you an idea of his background)

Lynn Franzoi - http://www.llfconsulting.com/

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) [redacted] - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, October 10, 2017 4:26 PM
Subject: You're Invited: What Lies Ahead for Retirement Savings? (10/20)



Friday, October 20, 2017
8:30 a.m. – 1:00 p.m.
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC

[redacted]

On **Friday, October 20**, the U.S. Chamber will sponsor a conference focusing on the success of current tax incentives for retirement savings and how tax reform may impact retirement savings going forward. During the event, a publication that showcases the private retirement system and highlights retirement programs currently offered by businesses of all sizes as part of a competitive benefits package to attract and retain employees, will be released.

For additional information about the event and to register, please see the event page.

Have questions regarding the event and registration? Please contact Anna Vredenburgh at avredenburgh@uschamber.com

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Wednesday, September 06, 2017 12:31 PM
To: 'Tavares, Lisa A.'; Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Rivers, Amber - EBSA; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan - EBSA; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; (b) (6); Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; (b) (6) - SOL; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa - EBSA; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; (b) (6) - EBSA; Latrice Byrdsong; (b) (6) - SOL; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Hoffmann-Richards, Zack - EBSA; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth - EBSA; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; (b) (6) - EBSA; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wynter, Allen; Yaw, Awuah; Yohannes, Nate; Yolanda Montgomery; Young, Tracey; Yvette Banker
Subject: The American College of Employee Benefits Counsel Announces Competition for Best Simplification Proposal

Hello all,

I've been asked to pass along this information to those that might be interested…

The American College of Employee Benefits Counsel Announces Competition for Best Simplification Proposal

The American College of Employee Benefits Counsel announced today, on Labor Day, a competition for the best original legislative proposal to simplify an aspect of employee benefits law. The award, established through a generous commitment of David Gordon, a former president of the College, will provide a prize of $10,000. The College anticipates sponsoring the competition annually for at least the next five years.

The College is composed of senior employee benefit lawyers who have been selected by their peers for their contributions to the study and improvement of employee benefits law. Its diverse membership includes lawyers who represent plan participants, employers, unions, employee benefit plans, and the government agencies that regulate them. (Further information about the College, its activities, and its history, is available at www.acebc.com.)

Purpose of the Award

Employee benefits law is necessarily complex but also more complex than necessary. It is complex because Congress, in writing the law, seeks to balance numerous competing concerns, such as encouraging employers to

establish and maintain plans, protecting employees, and controlling tax costs. It is more complex than necessary because there is no systematic effort over time to identify and purge from the law complex provisions whose contributions to the employee benefits system no longer justify their costs to the employees who participate in employee benefit plans or the employers who sponsor them.

Mr. Gordon conceived the simplification award as a means of addressing this problem. He said that complexity in employee benefits law is like the weather: "every experienced benefits lawyer complains about it but no one does anything about it."

And the costs are real and significant. For example, participants are provided with lengthy documents too complex for the average participant to understand. Complex legal requirements designed four decades ago for a pre-computer age or to address a once relevant issue that is now obsolete, remain in the law despite costing employee benefit plans millions of dollars in compliance expense, resources that could better be used to improve the retirement and health benefits that these plans deliver to employees.

The competition is designed to allow professionals who work in the employee benefits field, academics, employers who sponsor plans, and employees who participate in them, to suggest amendments to the law that would reduce costly and unnecessary complexity. Submissions must enhance, or at least have no adverse effect on, protections for and rights of employees and plan participants.

Details of Award

The award winner will be selected each year by the ACEBC Simplification Award Committee, which is composed of Members of the College. The Award Committee's selection of a winner will be subject to the approval of the ACEBC's Board of Governors. Detailed rules, eligibility and selection criteria, and submissions procedures are available at https://www.acebc.com/simplification-award-rules. A list of FAQs is also posted at the website, and may be updated during the competition as the Committee deems appropriate.

Criteria for judging submissions include the degree of simplification, prospects for enactment, and originality.

The initial award will be presented at the College's Annual Meeting and Induction Dinner to be held in Fall 2018. For a submission to be eligible for the 2018 award, it must be submitted in accordance with the rules linked above, on or before April 1, 2018.

Further Information

For further information on the competition, media can contact Randy Hardock, Chair of the Simplification Award Committee (202-662-2293); rhhardock@davis-harman.com), or David Gordon, who developed the award and is generously providing its funding (213-300-7391; degordon@fwcook.com).

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

****

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, March 17, 2017 4:06 PM
To: 'Tavares, Lisa A.'; Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Rivers, Amber - EBSA; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan - EBSA; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; (b) (6); Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; (b) (6) - SOL; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa - EBSA; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; (b) (6) - EBSA; Latrice Byrdsong; (b) (6) - SOL; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Hoffmann-Richards, Zack - EBSA; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth - EBSA; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; (b) (6) - EBSA; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wynter, Allen; Yaw, Awuah; Yohannes, Nate; Yolanda Montgomery; Young, Tracey; Yvette Banker
Subject: Chamber Health Policy Position

Hello all,

Passing along an opportunity here at the Chamber. Let me know if you're interested!

Director, Health Care Policy - MB11180
Director, Health Care Policy
Labor, Immigration & Employee Benefits

OVERVIEW: Ensure that the Chamber is actively involved in legislative and regulatory issues related to the Affordable Care Act and health care policy in general. Take a leading role in developing the programming for the Chamber's annual Health Care Summit, including securing program sponsors.

RESPONSIBILITIES: Identify, evaluate, detail, and prioritize emerging issues resulting from the Affordable Care Act and the repeal and replace legislative efforts; develop and plan the Chamber's Health Care Summit from start to finish in conjunction with Corporate Programs; identify and solicit sponsors for the summit; comment on critical legislation and regulations and address material subject matter as part of a comprehensive health care policy; educate Chamber members on key health care issues; prepare briefing materials and presentations; develop solidly supported position statements and represent member interests; work with outside groups, including law firms; and perform other related duties.

REQUIREMENTS: Bachelor's degree and 7-10 years' directly related experience, preferably in a law firm or on Capitol Hill; extensive knowledge of health care laws and related legal authorities; knowledge of legislative, regulatory, and rulemaking processes; excellent written, verbal, and presentation skills; experience planning and executing events; ability to work independently; able to gather and disseminate information timely and accurately. Advanced degree and working knowledge of FDA issues preferred.

Salary commensurate with experience.

Apply online at www.uschamber.com/about-us/careers/apply-online-now.

We recruit, employ, train, compensate, and promote without regard to race, religion, creed, color, national origin, age, gender, sexual orientation, marital status, disability, veteran status, or any other basis protected by applicable federal, state, or local law. Our recruitment and employment policies are inclusive. We respect both the spirit and letter of the laws of equal employment opportunity. (click here for more details)

If the link is inactive, copy and paste the URL below into your browser:
http://www.recruitingcenter.net/clients/USChamber/publicjobs/controller.cfm?jbaction=JobProfile&job_id=11180

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Tavares, Lisa A. [mailto:LATavares@Venable.com]
Sent: Tuesday, March 14, 2017 12:45 PM
To: Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Amber Rivers; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; [redacted]; Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; [redacted]; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; [redacted]; Latrice Byrdsong; [redacted]; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Richards, Zack; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; [redacted]; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wong, Aliya; Wynter, Allen; Yaw, Awuah; Yohannes, Nate; Yolanda Montgomery; Young, Tracey; Yvette Banker
Subject: FW: EB Attorney role- Cincy

Thanks to Rena for passing along this opportunity.

From: Rena Felton [mailto:renajesq@gmail.com]
Sent: Wednesday, March 01, 2017 5:40 PM
To: Tavares, Lisa A.
Subject: Fwd: EB Attorney role- Cincy

Hi Lisa,

Just wanted to pass along this opportunity. Thanks!

Warm regards,

E. Rena Felton
832-368-2487

---------- Forwarded message ----------
From: "Frank Changet" <frankc@weaverpartners.com>
Date: Mar 1, 2017 5:31 PM
Subject: EB Attorney role- Cincy
To: <renajesq@gmail.com>
Cc:

Hi Rena,

Pasted below is what I can share about the role I mentioned. It is an Employee Benefits Attorney role that obviously includes ERISA. Thank you for sharing this with your network.

Best regards,

Frank

Position: **Employee Benefits Attorney**

Education Needed: **J.D.**

Key Experience:

Successful candidate will have 4 to 8 years of experience as an Employee Benefits Attorney. They will look at attorneys with up to 12 years of experience but target is 6. Knowledge of ACA, HIPAA, FMLA, USERRA, Cobra, ERISA, DOL, PBGC. Knowledge of executive compensation is a plus. They will look at in-house attorneys looking to join a law firm.

Description:

The Employee Benefits team consists of 6 attorneys and work together as a team. They are looking for someone who will be committed to the firm and grow into partnership. The Employee Benefits attorney will provide assistance on all facets of welfare plans, retirement plans to assure their compliance. Design and implement pension and other qualified retirement plans for employers (both for profit and non-profit) of all sizes. Although a strong emphasis is put on all attorneys at the firm, this role will primarily be working on clients they already have at first.

They will be responsible for 1,850 of billable hours and 450 hours of non-billable hours.

Minimum Requirements:

4 years current Employee Benefits Law experience.

Licensed in Ohio, or willing to obtain admission to the Ohio Bar.

LL.M. not required but is a plus

PROPERTY OF WEAVER PARTNERS, INC.

Frank Changet

Senior Consultant | Recruiter

e frankc@weaverpartners.com

o (630) 262-0007 x 104

f (630) 262-8711

w www.weaverpartners.com

112 Spring Street | Batavia, IL 60510

Connect with me on LinkedIn

Weaver Partners on LinkedIn | View my profile on LinkedIn

****
This electronic mail transmission may contain confidential or privileged information. If you believe you have received this message in error, please notify the sender by reply transmission and delete the message without copying or disclosing it.
****

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Wednesday, January 25, 2017 11:07 AM
Subject: Upcoming Event: The Shifting Paradigm of Retirement - February 3, 2017

I hope you're able to attend!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

The Shifting Paradigm of Retirement:
What it Means for Employers, Workers, and Retirees
February 3, 2017
8:30am-12:00pm (ET)*
U.S. Chamber of Commerce
1615 H Street, NW
Washington DC, 20062

REGISTER

You are invited to join the U.S. Chamber of Commerce on Friday, February 3rd for a conference, **The Shifting Paradigm of Retirement: *What is Means for Employers, Workers, and Retirees.*** During the event will release a policy solution roadmap and explore what saving for retirement means for employers, workers and retirees in today's evolving landscape.

For additional information regarding discussion topics and agenda updates, please see the event page. *Please note that event times are subject to change.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Anna Vredenburgh, Staff Assistant
U.S. Chamber of Commerce
1615 H St. NW Washington, DC 20062
Phone: 202.463.5335
Fax: 202.463.3194

(b) (6) [redacted] - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, January 24, 2017 4:30 PM
Subject: Employee Benefits Committee Reception - February 2

I hope that you are able to join us!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

YOU'RE INVITED

The U.S. Chamber of Commerce
invites you to a reception
of its
Employee Benefits Committee

Thursday February 2, 2017
5:00 p.m.-6:30 p.m.
U.S. Chamber of Commerce
Library
1615 H Street, N.W. Washington, D.C.

Please RSVP to Anna Vredenburgh
At avredenburgh@uschamber.com

Reminder: Government employees should consult with their agency ethics office, or the House and Senate Ethics Office, as appropriate, to determine

whether there are any prohibitions on their attendance at this event.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Anna Vredenburgh, Staff Assistant
U.S. Chamber of Commerce
1615 H St. NW Washington, DC 20062
Phone: 202.463.5335
Fax: 202.463.3194

[redacted] - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Wednesday, January 11, 2017 8:19 AM
To: 'Tavares, Lisa A.'; 'Ada B. Esedebe'; 'Al Campos'; 'Alane Dent'; 'Alice Palmer'; Rivers, Amber - EBSA; 'Annita M. Riddick'; 'Arzuaga, Pat'; 'Awo archampong-Gray'; Broady, Jan - EBSA; 'Buchanan, Sariyah'; 'Butler, Damarr M.'; 'Carshae Dahl'; 'Cassandra Burton Caverly'; 'Chizoba Egbuonu'; 'Christina Crockett'; [redacted]; 'Cutlip, Christina R.'; 'David Rosner'; 'Dionne Benjamin'; 'Dionne Benjamin'; 'Donald, Camile'; 'Durham, Lacey'; 'Eaton, Miguel'; 'Eugene M. Holmes'; [redacted] - SOL; 'Felton, Rena'; 'Greg Wu'; 'Hagan, Nicole'; Hall, Lyssa - EBSA; 'Humphrey, Sarah'; 'Jasmine N. French'; 'Jessica Ornsby'; 'Jolly, Ernie'; 'Jones, Candice'; 'Kaye Pestaina'; [redacted] - EBSA; 'Latrice Byrdsong'; [redacted] - SOL; 'Lisser, Margaret de'; 'Martin-Yeboah, Eileen'; 'Mary R. Jones'; 'Michelle Thomas'; 'Monique McDowell'; 'Monya Bunch'; 'Moultrie, Cam L.'; 'Murrell, Vicente M.'; 'Nwando Ume-Nwagbo'; 'Oluwo, Rosemary'; 'Oluwo, Rosemary'; 'Pascall, Afiya'; 'Penn, Breyana'; 'Pia Flanagan'; 'Ramona Palmer-Eason'; 'Randolph, Katrina'; 'Regina Elbert'; Hoffmann-Richards, Zack - EBSA; 'Robinson, Lisa'; 'Rouse, Veronica'; 'Salinas, Shannon'; Schumacher, Elizabeth - EBSA; 'Scott, Vanessa'; 'Shuler, Stephanie'; 'Slack, Charmaine L.'; [redacted] - EBSA; 'Takisha Brown-McGee'; 'Thea Davis'; 'Thelma A. Ofori'; 'Tiffany D. Torain'; 'Tonia P. Bottoms'; 'Turner, Vivian'; 'Vicki D. Blanton'; 'W. Iris Barber'; 'Waddles, Nicholas J.'; 'Washington, Eunice'; 'Whetsell, Jennifer F.'; 'Wilder, Will'; 'Williams, Shari D.'; 'Wynter, Allen'; 'Yaw, Awuah'; 'Yolanda Montgomery'; 'Young, Tracey'; 'Yvette Banker'; 'Michelle.P.Thomas@kp.org'; 'J. Jioni Palmer (jioni.palmer@ssa.gov)'; Rivers, Amber - EBSA; Schumacher, Elizabeth - EBSA; 'lyssa.hargrove@ssa.gov'
Subject: RE: ERISA Networking Group Holiday Happy Hour

A reminder for our happy hour tomorrow – if you plan to attend and haven't already told me, please let me know so I can keep an eye out for you. Hope to see you tomorrow!

I hope that 2017 is treating you well so far and that you're ready to continue the holiday cheer! The ERISA Networking Group will hold its holiday happy hour on January 12 at Bar Deco. I hope to see you there!!

ERISA Networking Group Holiday Happy Hour
January 12, 2017
5:00pm
Bar Deco
717 6th Street, NW

Aliya Wong
Executive Director of Retirement Policy

awong@uschamber.com
202-463-5458 (p)

From: Wong, Aliya
Sent: Friday, December 30, 2016 12:01 PM
To: 'Tavares, Lisa A.'; Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Amber Rivers; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; (b) (6) [redacted]; Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; (b) (6) [redacted]; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; (b) (6) [redacted]; Latrice Byrdsong; (b) (6) [redacted]; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Richards, Zack; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; (b) (6) [redacted]; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wynter, Allen; Yaw, Awuah; Yolanda Montgomery; Young, Tracey; Yvette Banker; 'Michelle.P.Thomas@kp.org'; 'J. Jioni Palmer (jioni.palmer@ssa.gov)'; Amber Rivers; 'Schumacher, Elizabeth - EBSA'; 'lyssa.hargrove@ssa.gov'
Subject: ERISA Networking Group Holiday Happy Hour

Save the Date!

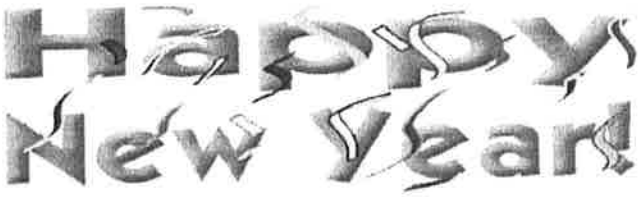
The ERISA Networking Group would like to start the new year with a little cheer so please hold **Thursday, January 12, 2017** to join us for happy hour. Location is still being determined (suggestions welcome☺) and will be sent out shortly.

Have a wonderful New Year and I look forward to seeing you in 2017!!

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) [redacted] - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, January 06, 2017 2:23 PM
To: 'Tavares, Lisa A.'; 'Ada B. Esedebe'; 'Al Campos'; 'Alane Dent'; 'Alice Palmer'; Rivers, Amber - EBSA; 'Annita M. Riddick'; 'Arzuaga, Pat'; 'Awo archampong-Gray'; Broady, Jan - EBSA; 'Buchanan, Sariyah'; 'Butler, Damarr M.'; 'Carshae Dahl'; 'Cassandra Burton Caverly'; 'Chizoba Egbuonu'; 'Christina Crockett'; (b) (6) [redacted]; 'Cutlip, Christina R.'; 'David Rosner'; 'Dionne Benjamin'; 'Dionne Benjamin'; 'Donald, Camile'; 'Durham, Lacey'; 'Eaton, Miguel'; 'Eugene M. Holmes'; (b) (6) [redacted] - SOL; 'Felton, Rena'; 'Greg Wu'; 'Hagan, Nicole'; Hall, Lyssa - EBSA; 'Humphrey, Sarah'; 'Jasmine N. French'; 'Jessica Ornsby'; 'Jolly, Ernie'; 'Jones, Candice'; 'Kaye Pestaina'; (b) (6) [redacted] - EBSA; 'Latrice Byrdsong'; (b) (6) [redacted] - SOL; 'Lisser, Margaret de'; 'Martin-Yeboah, Eileen'; 'Mary R. Jones'; 'Michelle Thomas'; 'Monique McDowell'; 'Monya Bunch'; 'Moultrie, Cam L.'; 'Murrell, Vicente M.'; 'Nwando Ume-Nwagbo'; 'Oluwo, Rosemary'; 'Oluwo, Rosemary'; 'Pascall, Afiya'; 'Penn, Breyana'; 'Pia Flanagan'; 'Ramona Palmer-Eason'; 'Randolph, Katrina'; 'Regina Elbert'; Hoffmann-Richards, Zack - EBSA; 'Robinson, Lisa'; 'Rouse, Veronica'; 'Salinas, Shannon'; Schumacher, Elizabeth - EBSA; 'Scott, Vanessa'; 'Shuler, Stephanie'; 'Slack, Charmaine L.'; (b) (6) [redacted] - EBSA; 'Takisha Brown-McGee'; 'Thea Davis'; 'Thelma A. Ofori'; 'Tiffany D. Torain'; 'Tonia P. Bottoms'; 'Turner, Vivian'; 'Vicki D. Blanton'; 'W. Iris Barber'; 'Waddles, Nicholas J.'; 'Washington, Eunice'; 'Whetsell, Jennifer F.'; 'Wilder, Will'; 'Williams, Shari D.'; 'Wynter, Allen'; 'Yaw, Awuah'; 'Yolanda Montgomery'; 'Young, Tracey'; 'Yvette Banker'; 'Michelle.P.Thomas@kp.org'; 'J. Jioni Palmer (jioni.palmer@ssa.gov)'; Rivers, Amber - EBSA; Schumacher, Elizabeth - EBSA; 'lyssa.hargrove@ssa.gov'
Subject: RE: ERISA Networking Group Holiday Happy Hour



I hope that 2017 is treating you well so far and that you're ready to continue the holiday cheer! The ERISA Networking Group will hold its holiday happy hour on January 12 at Bar Deco. I hope to see you there!!

ERISA Networking Group Holiday Happy Hour
January 12, 2017
5:00pm
Bar Deco
717 6th Street, NW

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Wong, Aliya
Sent: Friday, December 30, 2016 12:01 PM
To: 'Tavares, Lisa A.'; Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Amber Rivers; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; (b) (6) [redacted]; Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; (b) (6) [redacted]; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; (b) (6) [redacted]; Latrice Byrdsong; (b) (6) [redacted]; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Richards, Zack; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; (b) (6) [redacted]; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wynter, Allen; Yaw, Awuah; Yolanda Montgomery; Young, Tracey; Yvette Banker; 'Michelle.P.Thomas@kp.org'; 'J. Jioni Palmer (jioni.palmer@ssa.gov)'; Amber Rivers; 'Schumacher, Elizabeth - EBSA'; 'lyssa.hargrove@ssa.gov'
Subject: ERISA Networking Group Holiday Happy Hour

Save the Date!

The ERISA Networking Group would like to start the new year with a little cheer so please hold **Thursday, January 12, 2017** to join us for happy hour. Location is still being determined (suggestions welcome☺) and will be sent out shortly.

Have a wonderful New Year and I look forward to seeing you in 2017!!

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, December 30, 2016 12:01 PM
To: 'Tavares, Lisa A.'; Ada B. Esedebe; Al Campos; Alane Dent; Alice Palmer; Rivers, Amber - EBSA; Annita M. Riddick; Arzuaga, Pat; Awo archampong-Gray; Broady, Jan - EBSA; Buchanan, Sariyah; Butler, Damarr M.; Carshae Dahl; Cassandra Burton Caverly; Chizoba Egbuonu; Christina Crockett; (b) (6) Cutlip, Christina R.; David Rosner; Dionne Benjamin; Dionne Benjamin; Donald, Camile; Durham, Lacey; Eaton, Miguel; Eugene M. Holmes; (b) (6) - SOL; Felton, Rena; Greg Wu; Hagan, Nicole; Hall, Lyssa - EBSA; Humphrey, Sarah; Jasmine N. French; Jessica Ornsby; Jolly, Ernie; Jones, Candice; Kaye Pestaina; (b) (6) - EBSA; Latrice Byrdsong; (b) (6) - SOL; Lisser, Margaret de; Martin-Yeboah, Eileen; Mary R. Jones; Michelle Thomas; Monique McDowell; Monya Bunch; Moultrie, Cam L.; Murrell, Vicente M.; Nwando Ume-Nwagbo; Oluwo, Rosemary; Oluwo, Rosemary; Pascall, Afiya; Penn, Breyana; Pia Flanagan; Ramona Palmer-Eason; Randolph, Katrina; Regina Elbert; Hoffmann-Richards, Zack - EBSA; Robinson, Lisa; Rouse, Veronica; Salinas, Shannon; Schumacher, Elizabeth - EBSA; Scott, Vanessa; Shuler, Stephanie; Slack, Charmaine L.; (b) (6) - EBSA; Takisha Brown-McGee; Thea Davis; Thelma A. Ofori; Tiffany D. Torain; Tonia P. Bottoms; Turner, Vivian; Vicki D. Blanton; W. Iris Barber; Waddles, Nicholas J.; Washington, Eunice; Whetsell, Jennifer F.; Wilder, Will; Williams, Shari D.; Wynter, Allen; Yaw, Awuah; Yolanda Montgomery; Young, Tracey; Yvette Banker; 'Michelle.P.Thomas@kp.org'; 'J. Jioni Palmer (jioni.palmer@ssa.gov)'; Rivers, Amber - EBSA; Schumacher, Elizabeth - EBSA; 'lyssa.hargrove@ssa.gov'
Subject: ERISA Networking Group Holiday Happy Hour

Save the Date!

The ERISA Networking Group would like to start the new year with a little cheer so please hold **Thursday, January 12, 2017** to join us for happy hour. Location is still being determined (suggestions welcome☺) and will be sent out shortly.

Have a wonderful New Year and I look forward to seeing you in 2017!!

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, July 08, 2016 2:49 PM
Subject: Event Notification - Tuesday July 12 - The Pension Protection Act: 10 Years Later

The Pension Protection Act: 10 Years Later

Please join the U.S. Chamber, Women's Institute For A Secure Retirement (WISER), Defined Contribution Institutional Investment Association (DCIIA), the Pension Rights Center, and the Financial Services Roundtable for a half-day conference in recognition of the 10th anniversary of the "Pension Protection Act of 2006" (PPA) being signed into law.

The afternoon will feature two panel discussions highlighting how the PPA came to be and what the future of private retirement plans will look like as the PPA ages. The discussion will focus on the past and future importance of the "Pension Protection Act" and will include remarks from policymakers in the administration and from Capitol Hill on its significance. For additional information, please see the **agenda**.

The Pension Protection Act: 10 Years Later
REGISTER
Tuesday, July 12th
2:00pm (ET)
Hall of Flags
U.S. Chamber of Commerce
1615 H Street, NW
Washington, D.C. 20062

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce

1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) [redacted] - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, May 24, 2016 2:48 PM
To: (b) (6) [redacted] - EBSA
Subject: Re: Chat?

Sounds good!

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Khawar, Ali - OSEC
Sent: Tuesday, May 24, 2016 2:31 PM
To: Wong, Aliya
Subject: Re: Chat?

Just freed up. I'll give you a call at 430ish.

On: 24 May 2016 07:43, "Wong, Aliya" wrote:
I'm open between 1 and 2 today and then after 4:30. Also free tomorrow morning (around 10) and then in afternoon after 2.
Also free now until around 11:30☺. Thanks!
Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Khawar, Ali - OSEC [mailto:Khawar.Ali@dol.gov]
Sent: Tuesday, May 24, 2016 10:24 AM
To: Wong, Aliya
Subject: Re: Chat?

Sure, let me know some good times to talk

On: 24 May 2016 07:22, "Wong, Aliya" <AWong@USChamber.com> wrote:
Hey Ali,
Do you have time to chat today/tomorrow about something (NOT fiduciary☺)? Thanks!
Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Wednesday, April 13, 2016 4:37 PM
Subject: Chamber tutorial on the Congressional Review Act
Attachments: Chamber tutorial on the Congressional Review Act flyer.pdf

Chamber Tutorial on the Congressional Review Act
May 5, 10:00-noon
U.S. Chamber Headquarters
Briefing Center

Please join us for a "tutorial" session on the Congressional Review Act. The CRA allows Congress to invalidate a regulation, but the process for doing so is complicated. The only time it has been used successfully was to invalidate the Clinton OSHA Ergonomics regulation at the outset of the Bush administration—an effort in which the Chamber played a major role. With so many regulations being issued by the Obama administration, and more to come, we wanted to make sure everyone understands how the CRA works and what will be required to take advantage of it.

Our guest for explaining the CRA will be former Senate Parliamentarian Marty Gold, author of "Senate Procedure and Practice." He is a widely acknowledged expert on Senate and Congressional procedure and served as Parliamentarian under Senate Majority Leader Bill Frist (R-TN).

Please RSVP to David Alim (dalim@uschamber.com or 202-463-5824) if you plan to attend this session. This event will be free of charge but you must RSVP. It will be held at the U.S. Chamber building, 1615 H Street, N.W.
We hope you will be able to join us for this important and informative session.

LABOR, IMMIGRATION &
EMPLOYEE BENEFITS DIVISION

U.S. CHAMBER OF COMMERCE

Chamber tutorial on the Congressional Review Act
May 5, 10:00-noon
U.S. Chamber Headquarters
Briefing Center

Please join us for a "tutorial" session on the Congressional Review Act. The CRA allows Congress to invalidate a regulation, but the process for doing so is complicated. The only time it has been used successfully was to invalidate the Clinton OSHA Ergonomics regulation at the outset of the Bush administration—an effort in which the Chamber played a major role. With so many regulations being issued by the Obama administration, and more to come, we wanted to make sure everyone understands how the CRA works and what will be required to take advantage of it.

Our guest for explaining the CRA will be former Senate Parliamentarian Marty Gold, author of "Senate Procedure and Practice." He is a widely acknowledged expert on Senate and Congressional procedure and served as Parliamentarian under Senate Majority Leader Bill Frist (R-TN).

Please RSVP to David Alim (202-463-5824) if you plan to attend this session. This event will be free of charge but you must RSVP. It will be held at the U.S. Chamber building, 1615 H Street, N.W.

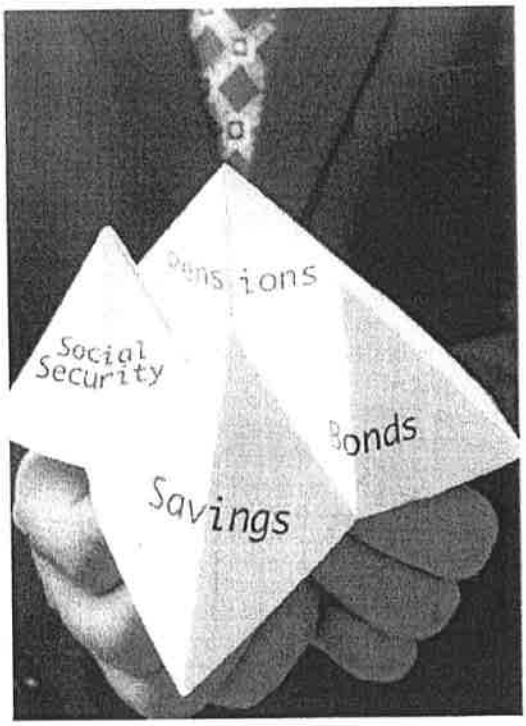
We hope you will be able to join us for this important and informative session.

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, April 01, 2016 12:48 PM
Subject: YOU'RE INVITED -- 4/5: Promoting Retirement Security Makes Good Business Sense

Hope you're able to attend!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)



YOU'RE INVITED

Promoting Retirement Security Makes Good Business Sense

Please join to join Carolyn W. Colvin, Acting Commissioner of Social Security, and the U.S. Chamber of Commerce on Tuesday, April 5, 2016 at 8:45 a.m. EDT.

Register here:
https://www.regonline.com/retirementsecurity2016
Agenda to follow

U.S. Chamber of Commerce,
Lee Anderson Room
1615 H Street, NW, Washington, DC 20062-2000

Tuesday, April 5, 2016
8:45 a.m. to 12:00 p.m.

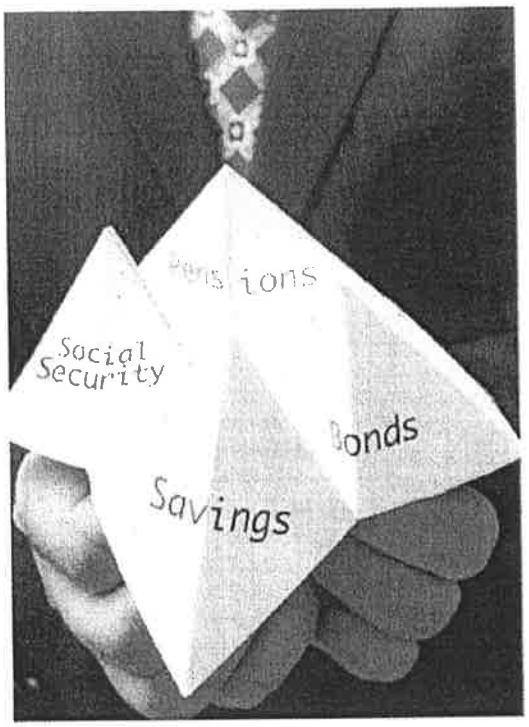
U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, March 22, 2016 2:29 PM
Subject: YOU'RE INVITED -- 4/5: Promoting Retirement Security Makes Good Business Sense

Hope you're able to attend!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)



YOU'RE INVITED

Promoting Retirement Security Makes Good Business Sense

Please plan to join Carolyn W. Colvin
Acting Commissioner of Social Security, and the
U.S. Chamber of Commerce on Tuesday,
April 5, 2016 at 8:45 a.m. EDT

Register here:
https://www.regonline.com/retirementsecurity2016

Agenda to follow

U.S. Chamber of Commerce,
Lee Anderson Room
1615 H Street, NW, Washington, DC 20062-2000

Tuesday, April 5, 2016
8:45 a.m. to 12:00 p.m.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, February 16, 2016 4:00 PM
To: (b) (6) - EBSA
Subject: RE: Private Retirement Benefits in the 21st Century: Achieving Retirement Security

You were definitely missed in Vegas! There was no one to correct me!!

On another note, I got a report from a colleague about an OMB meeting in which you were referred to as "Ollie." I laughed and laughed...☺

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Khawar, Ali - OSEC [mailto:Khawar.Ali@dol.gov]
Sent: Wednesday, February 10, 2016 3:25 PM
To: Wong, Aliya
Subject: RE: Private Retirement Benefits in the 21st Century: Achieving Retirement Security

Have fun in LV! I would've loved to be there.

Ali Khawar
Counselor to the Secretary

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Wednesday, February 10, 2016 3:21 PM
To: Wong, Aliya
Cc: Mullon, Walter; Vredenburgh, Anna
Subject: Private Retirement Benefits in the 21st Century: Achieving Retirement Security

Private Retirement Benefits in the 21st Century:
ACHIEVING RETIREMENT SECURITY

U.S. CHAMBER OF COMMERCE

Private Retirement Benefits in the 21st Century:
Achieving Retirement Security
February 5, 2016
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC

On February 5, the U.S. Chamber of Commerce held a conference highlighting the success of the employer-provided retirement system and how that success can be continued to work toward achieving even greater retirement security. At the conference, the Chamber released its white paper titled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security" prepared by the Chamber's Employee Benefits Committee. The paper focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce.

Thank you to all who were able to attend the event! If you were not able to attend, you are able to view a webcast of the event here.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Wednesday, February 10, 2016 3:21 PM
To: Wong, Aliya
Cc: Mullon, Walter; Vredenburgh, Anna
Subject: Private Retirement Benefits in the 21st Century: Achieving Retirement Security

Private Retirement Benefits in the 21st Century:
ACHIEVING RETIREMENT SECURITY

Private Retirement Benefits in the 21st Century:
Achieving Retirement Security
February 5, 2016
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC

On February 5, the U.S. Chamber of Commerce held a conference highlighting the success of the employer-provided retirement system and how that success can be continued to work toward achieving even greater retirement security. At the conference, the Chamber released its white paper titled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security" prepared by the Chamber's Employee Benefits Committee. The paper focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce.

Thank you to all who were able to attend the event! If you were not able to attend, you are able to view a webcast of the event here.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Thursday, February 04, 2016 9:24 AM
Subject: REMINDER - THIS FRIDAY - 2/5: Chamber Event on Retirement Security
Attachments: USCC Retirement Security Event Agenda 2-5.pdf

Final Reminder☺

Private Retirement Benefits in the 21st Century:
ACHIEVING RETIREMENT SECURITY

Private Retirement Benefits in the 21st Century:
Achieving Retirement Security
February 5, 2016
8:00AM – 1:15PM
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC

On February 5, the U.S. Chamber of Commerce will hold a conference highlighting the success of the employer-provided retirement system and how that success can be continued to work toward achieving even greater retirement security. At the conference, the Chamber will release its white paper titled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security" prepared by the Chamber's Employee Benefits Committee. The paper focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce. This half-day conference will highlight some of the recommendations and proposals in the paper as well as allow for feedback to these recommendations.

Please join us at Chamber headquarters, 1615 H Street, NW, as we discuss the white paper and hear thoughts from the Administration and Capitol Hill on retirement security. The event's agenda can be found here.

Additionally, if you are unable to attend in person, the event will be webcast here.

Confirmed Speakers:

- **Phyllis Borzi**, *Assistant Secretary of Labor, Employee Benefits Security Administration*
- **J. Mark Iwry**, *Senior Advisor to the Secretary and Deputy Assistant Secretary (Retirement and Health Policy), U.S. Treasury Department*
- **Tom Reeder**, *Director, Pension Benefit Guaranty Corporation*
- **Paula Calimafde**, *Chair, Small Business Council of America and Principal, Paley Rothman*
- **David Certner**, *Legislative Counsel and Director of Legislative Policy for Government Affairs, AARP*

- **Marti Fisher**, *Policy Advocate, California Chamber of Commerce*
- **Sarah Holden,** *Senior Director, Retirement and Investor Research, Investment Company Institute*
- **Edmund Schweitzer**, *President & Chairman of the Board, Schweitzer Engineering Laboratories*
- **Randy Johnson,** *Senior Vice President, Labor, Immigration, & Employee Benefits, U.S. Chamber of Commerce*
- **Jeanne de Cervens**, *Vice President & Director of Federal Government Affairs, Transamerica Companies and Director, Transamerica Institute®, a nonprofit, private foundation*
- **Lori Lucas,** *Executive Vice President and Defined Contribution Practice Leader, Callan Associates*
- **Lew Minsky**, *President & CEO, The Defined Contribution Institutional Investment Association*
- **Beth Bell,** *Tax Counsel, Office of Senator Ben Cardin (D-MD)*
- **Aharon Friedman**, *Tax Counsel, House Committee on Ways & Means (R)*

Please RSVP by emailing Anna Vredenburgh at avredenburgh@uschamber.com

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, February 02, 2016 10:28 AM
Subject: REMINDER - THIS FRIDAY - 2/5: Chamber Event on Retirement Security
Attachments: USCC Retirement Security Event Agenda 2-5.pdf

I hope you are able to attend...

Private Retirement Benefits in the 21st Century:
ACHIEVING RETIREMENT SECURITY

Private Retirement Benefits in the 21st Century:
Achieving Retirement Security
February 5, 2016
8:00AM – 1:15PM
U.S. Chamber of Commerce
1615 H Street, NW
Washington, DC

On February 5, the U.S. Chamber of Commerce will hold a conference highlighting the success of the employer-provided retirement system and how that success can be continued to work toward achieving even greater retirement security. At the conference, the Chamber will release its white paper titled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security" prepared by the Chamber's Employee Benefits Committee. The paper focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce. This half-day conference will highlight some of the recommendations and proposals in the paper as well as allow for feedback to these recommendations.

Please join us at Chamber headquarters, 1615 H Street, NW, as we discuss the white paper and hear thoughts from the Administration and Capitol Hill on retirement security. The event's agenda can be found here.

Confirmed Speakers:

- **Phyllis Borzi**, *Assistant Secretary of Labor, Employee Benefits Security Administration*
- **J. Mark Iwry**, *Senior Advisor to the Secretary and Deputy Assistant Secretary (Retirement and Health Policy), U.S. Treasury Department*
- **Tom Reeder**, *Director, Pension Benefit Guaranty Corporation*
- **Paula Calimafde**, *Chair, Small Business Council of America and Principal, Paley Rothman*
- **David Certner**, *Legislative Counsel and Director of Legislative Policy for Government Affairs, AARP*
- **Marti Fisher**, *Policy Advocate, California Chamber of Commerce*
- **Sarah Holden**, *Senior Director, Retirement and Investor Research, Investment*

Company Institute

- **Edmund Schweitzer**, *President & Chairman of the Board, Schweitzer Engineering Laboratories*
- **Randy Johnson**, *Senior Vice President, Labor, Immigration, & Employee Benefits, U.S. Chamber of Commerce*
- **Jeanne de Cervens**, *Vice President & Director of Federal Government Affairs, Transamerica Companies and Director, Transamerica Institute®, a nonprofit, private foundation*
- **Lori Lucas**, *Executive Vice President and Defined Contribution Practice Leader, Callan Associates*
- **Lew Minsky**, *President & CEO, The Defined Contribution Institutional Investment Association*
- **Beth Bell**, *Tax Counsel, Office of Senator Ben Cardin (D-MD)*
- **Aharon Friedman**, *Tax Counsel, House Committee on Ways & Means (R)*

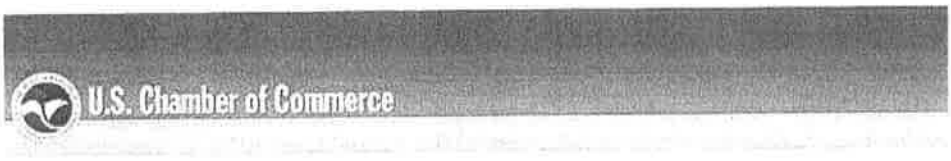
Please RSVP by emailing Anna Vredenburgh at avredenburgh@uschamber.com

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Saturday, January 30, 2016 4:25 PM
Cc: Vredenburgh, Anna
Subject: Invitation to US Chamber of Commerce Employee Benefits Committee Reception



YOU'RE INVITED

The U.S. Chamber of Commerce
invites you to a reception
of its
Employee Benefits Committee

Thursday, February 4th, 2016
5:00 p.m.-7:00 p.m.
U.S. Chamber of Commerce
1615 H Street, N.W. Washington, D.C.

Please RSVP to Anna Vredenburgh
At avredenburgh@uschamber.com

Reminder: Government employees should consult with their agency ethics office, or the House and Senate Ethics Office, as appropriate, to determine whether there are any prohibitions on their attendance at this event.

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com

202-463-5458 (p)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Friday, January 29, 2016 12:23 PM
Subject: NEXT FRIDAY - 2/5: Chamber Event on Retirement Security
Attachments: USCC Retirement Security Event Agenda 2-5.pdf

I hope you are able to attend...

Private Retirement Benefits in the 21st Century:
ACHIEVING RETIREMENT SECURITY

Private Retirement Benefits in the 21st Century:
Achieving Retirement Security
February 5, 2016
8:00AM – 1:15PM

On February 5, the U.S. Chamber of Commerce will hold a conference highlighting the success of the employer-provided retirement system and how that success can be continued to work toward achieving even greater retirement security. At the conference, the Chamber will release its white paper titled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security" prepared by the Chamber's Employee Benefits Committee. The paper focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce. This half-day conference will highlight some of the recommendations and proposals in the paper as well as allow for feedback to these recommendations.

Please join us as we discuss the white paper and hear thoughts from the Administration and Capitol Hill on retirement security.

Confirmed Speakers:

- **Phyllis Borzi**, *Assistant Secretary of Labor, Employee Benefits Security Administration*
- **J. Mark Iwry**, *Senior Advisor to the Secretary and Deputy Assistant Secretary (Retirement and Health Policy), U.S. Treasury Department*
- **Tom Reeder**, *Director, Pension Benefit Guaranty Corporation*
- **Paula Calimafde**, *Chair, Small Business Council of America and Principal, Paley Rothman*
- **David Certner**, *Legislative Counsel and Director of Legislative Policy for Government Affairs, AARP*
- **Marti Fisher**, *Policy Advocate, California Chamber of Commerce*
- **Sarah Holden**, *Senior Director, Retirement and Investor Research, Investment Company Institute*
- **Edmund Schweitzer**, *President & Chairman of the Board, Schweitzer Engineering Laboratories*
- **Randy Johnson**, *Senior Vice President, Labor, Immigration, & Employee Benefits, U.S. Chamber of Commerce*

- **Jeanne de Cervens**, *Vice President & Director of Federal Government Affairs, Transamerica Companies and Director, Transamerica Institute®, a nonprofit, private foundation*
- **Lori Lucas**, *Executive Vice President and Defined Contribution Practice Leader, Callan Associates*
- **Lew Minsky**, *President & CEO, The Defined Contribution Institutional Investment Association*
- **Beth Bell**, *Tax Counsel, Office of Senator Ben Cardin (D-MD)*
- **Aharon Friedman**, *Tax Counsel, House Committee on Ways & Means (R)*

Please RSVP by emailing Anna Vredenburgh at avredenburgh@uschamber.com

U.S. Chamber of Commerce | 1615 H Street, NW | Washington, DC 20062-2000
www.uschamber.com | www.chamberpost.com

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

(b) (6) - EBSA

From: Wong, Aliya <AWong@USChamber.com>
Sent: Monday, January 11, 2016 12:31 PM
To: (b) (6) - EBSA
Subject: FW: Invitation to Speak

Hi Ali,

Happy New Year!!! I think you still owe me a happy hour from last year... (not that I'm counting☺).

I just sent the following invite to Phyllis. Would be happy to have her or Judy attend. The paper does not discuss the fiduciary rule and I am not expecting them to comment on it; however, I can't control questions that may come up. Anything you can do to help would be greatly appreciated. Thank you!!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Wong, Aliya
Sent: Monday, January 11, 2016 12:29 PM
To: Phyllis C. Borzi (borzi.phyllis@dol.gov)
Cc: Mullon, Walter
Subject: Invitation to Speak

Hi Phyllis,

The US Chamber of Commerce invites you to participate as a keynote speaker in our conference entitled, "Private Retirement Benefits in the 21st Century: Achieving Retirement Security." The purpose of the event is to release the Chamber's white paper which focuses on ways to strengthen the current private retirement structure and to address the demographic changes and retirement needs of an evolving workforce.

As a keynote presenter, we would like for you to provide your thoughts and feedback on the paper. We ask that you provide commentary for 15-20 minutes with 10-15 minutes for questions. We would like for you to speak at one of the following times: 8:45am, 10:15am, or 12:00pm. If you are available to join us, a pre-release copy of the paper will be sent for your review.

The conference will be held from 8:00am – 1:15pm on Friday, February 5 at the US Chamber of Commerce – 1615 H Street, NW, Washington, DC 20062. Chamber members, Hill and Administration staff, and interested members of the community will be invited to attend. The conference will be webcast and press will be in attendance.

We would appreciate if you could confirm your participation by January 20. Please RSVP to Walter Mullon at wmullon@uschamber.com or 202-463-5715.

If you have questions or would like to discuss further, please do not hesitate to contact us. We look forward to your reply.

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

(b) (6) [redacted] - EBSA

From: Canary, Joe - EBSA
Sent: Tuesday, February 06, 2018 1:38 PM
To: Campagna, Lou - EBSA
Subject: FW:

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Tuesday, September 20, 2016 10:25 AM
To: Turner, Jeffrey - EBSA
Cc: Canary, Joe - EBSA
Subject: Re:

Terrific - looking forward to having you both join!.We have the following times available - I will call to confirm which one is best:

1:00

1:30

3:00

4:30

Thank you!

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Turner, Jeffrey - EBSA
Sent: Tuesday, September 20, 2016 9:51 AM
To: Wong, Aliya
Cc: Canary, Joe - EBSA
Subject: Re:

Yep. Joe and have approval to come talk to your members. I'll call you to work out times.

Sent via my BlackBerry Wireless Handheld

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, September 20, 2016 9:41:20 AM
To: Turner, Jeffrey - EBSA
Subject: Re:

Hi Jeff - any update? Thank you!

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Turner, Jeffrey - EBSA
Sent: Friday, September 16, 2016 11:49 AM
To: Wong, Aliya
Subject: RE:

Let me check on the status of things.

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Friday, September 16, 2016 11:10 AM
To: Turner, Jeffrey - EBSA
Subject: RE:

Hi Jeff,

Thanks again for your willingness to do this☺. I want to check on times – we have most of the afternoon free so is there a preferred time for you between 1:00 and 5:00?

Also, I have not heard back from Tim – should I reach out to Joe? Thank you!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Turner, Jeffrey - EBSA [mailto:Turner.Jeffrey@dol.gov]
Sent: Tuesday, September 13, 2016 12:24 PM
To: Wong, Aliya
Subject: RE:

Thanks. I am happy to help and think I'm free that day. I'll need to check my schedule and with Joe and Phyllis.

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Tuesday, September 13, 2016 12:22 PM
To: Turner, Jeffrey - EBSA
Subject:

Hi Jeff,

On behalf of the Chamber, I would like to invite you to speak at the Chamber's Employee Benefits Committee meeting on Wednesday, Sept. 29 on the DOL guidance for states and political subdivisions regarding saving programs. At this point the agenda is fairly open, so if are available, please let me know what time works best for you. In addition, we are asking Tim Hauser to join us to discuss the
joint agency proposal to modify the Form 5500. If you would like to coordinate your presentations or speak jointly, we are happy to accommodate.

The committee is made up of Chamber members who are plan sponsors and service providers and, therefore, have a focused interest in retirement plan issues. The meeting is here at the Chamber and we expect 35 to 40 people to attend the meeting. Generally, our presenters speak for approximately 30-45 minutes and then take 15-30 minutes of questions. There is no press at these meetings.

Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

(b) (6) [redacted] - EBSA

From: Canary, Joe - EBSA
Sent: Tuesday, February 06, 2018 1:38 PM
To: Campagna, Lou - EBSA
Subject: FW: US Chamber EBC Meeting

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Tuesday, September 20, 2016 1:19 PM
To: Turner, Jeffrey - EBSA; Canary, Joe - EBSA
Cc: Vredenburgh, Anna
Subject: Re: US Chamber EBC Meeting

Thanks to both of you for joining us! I am confirming that your panel will be on Wednesday, Sept. 28 from 1:00pm to 2:15pm. Our event coordinator, Anna, will contact you shortly with further details.
Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Turner, Jeffrey - EBSA
Sent: Tuesday, September 20, 2016 9:51 AM
To: Wong, Aliya
Cc: Canary, Joe - EBSA
Subject: Re:

Yep. Joe and have approval to come talk to your members. I'll call you to work out times.

Sent via my BlackBerry Wireless Handheld

From: Wong, Aliya <AWong@USChamber.com>
Sent: Tuesday, September 20, 2016 9:41:20 AM
To: Turner, Jeffrey - EBSA
Subject: Re:

Hi Jeff - any update? Thank you!
Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: Turner, Jeffrey - EBSA
Sent: Friday, September 16, 2016 11:49 AM
To: Wong, Aliya
Subject: RE:

Let me check on the status of things.

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Friday, September 16, 2016 11:10 AM
To: Turner, Jeffrey - EBSA
Subject: RE:

Hi Jeff,
Thanks again for your willingness to do this☺. I want to check on times – we have most of the afternoon free so is there a preferred time for you between 1:00 and 5:00?
Also, I have not heard back from Tim – should I reach out to Joe? Thank you!

Aliya Wong
Executive Director of Retirement Policy
awong@uschamber.com
202-463-5458 (p)

From: Turner, Jeffrey - EBSA [mailto:Turner.Jeffrey@dol.gov]
Sent: Tuesday, September 13, 2016 12:24 PM

To: Wong, Aliya
Subject: RE:
Thanks. I am happy to help and think I'm free that day. I'll need to check my schedule and with Joe and Phyllis.

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Tuesday, September 13, 2016 12:22 PM
To: Turner, Jeffrey - EBSA
Subject:
Hi Jeff,
On behalf of the Chamber, I would like to invite you to speak at the Chamber's Employee Benefits Committee meeting on Wednesday, Sept. 29 on the DOL guidance for states and political subdivisions regarding saving programs. At this point the agenda is fairly open, so if are available, please let me know what time works best for you. In addition, we are asking Tim Hauser to join us to discuss the
joint agency proposal to modify the Form 5500. If you would like to coordinate your presentations or speak jointly, we are happy to accommodate.
The committee is made up of Chamber members who are plan sponsors and service providers and, therefore, have a focused interest in retirement plan issues. The meeting is here at the Chamber and we expect 35 to 40 people to attend the meeting. Generally, our presenters speak for approximately 30-45 minutes and then take 15-30 minutes of questions. There is no press at these meetings.
Sent from my BlackBerry 10 smartphone on the Verizon Wireless 4G LTE network.

From: [redacted] - EBSA
To: [redacted] - EBSA
Subject: FW: Chamber Report on the Multiemployer Pension Crisis
Date: Tuesday, February 06, 2018 2:23:58 PM
Attachments: image001.png

From: Turner, Jeffrey - EBSA
Sent: Tuesday, February 06, 2018 2:08 PM
To: [redacted] - EBSA
Subject: FW: Chamber Report on the Multiemployer Pension Crisis

From: Wong, Aliya [mailto:AWong@USChamber.com]
Sent: Friday, December 22, 2017 12:38 PM
Subject: Chamber Report on the Multiemployer Pension Crisis

Good morning,

This week, the Chamber issued a report, *The Multiemployer Pension Plan Crisis: The History, Legislation, and What's Next?* . We also ran the following piece in the Chamber's Above the Fold. As we head into the new year, the Chamber looks forward to working with all of you to resolve this crisis. If you have any questions or concerns, please do not hesitate to contact me.

Happy Holidays!

---

https://www.uschamber.com/series/above-the-fold/why-you-should-care-about-the-multiemployer-pension-crisis

Why You Should Care About the Multiemployer Pension Crisis

DEC 20, 2017 - 1:00PM

ALIYA WONG
Executive Director, Retirement Policy

In the midst of debates over tax reform, budgets, and national security, there is a little-known crisis rising — multiemployer pension funding. You may have heard of the multiemployer pension crisis and thought of it as an unfortunate issue, but one that had nothing to do with you. Well, without a legislative resolution, you could be affected.

Currently, over one million retirees in multiemployer plans are in danger of losing benefits because the plans that pay them will go bankrupt. In addition, the federal agency that acts as a backstop — the Pension Benefit Guaranty Corporation — is also in danger of bankruptcy. Without a resolution to this crisis, there could be millions lost in retirement benefits. This loss alone will put a strain on local economies where these retirees will have less money for consumption and some may be forced to rely on state assistance.

There will also be an adverse impact on employers in these plans. Because of the current rules, employers cannot leave these plans without paying large sums or claiming bankruptcy. Both of these results negatively impact the ability to provide jobs, make capital investments, or increase salaries. Finally, taxpayers may ultimately be on the hook if Congress decides to replace the lost benefits with taxpayer funding. Even if Congress does not provide direct assistance, all will feel the consequences of fewer jobs, less consumerism, and greater reliance on state programs.

The story of multiemployer plans is complicated. To provide context, the U.S. Chamber has issued a report, *The Multiemployer Pension Plan Crisis: The History, Legislation, and What's Next?* The report provides an overview of the current multiemployer crisis, an in-depth analysis of the events leading up to the crisis, attempts to fix it, and the current proposals to address the crisis.

There will not be any easy solutions to this crisis but if nothing is done, the consequences will be devastating. We call on all interested parties — and all parties should be interested — to encourage Congress to find a solution that minimizes the negative impact of this crisis.

Aliya Wong
Executive Director of Retirement Policy
U.S. Chamber of Commerce
1615 H Street, N.W.
Washington, DC 20062-2000
awong@uschamber.com
202-463-5458 (p)
202-463-5901 (f)

From: Canary, Joe - EBSA
To: Campagna, Lou - EBSA
Subject: FW: U.S. Chamber Letter re: Fiduciary Rule Extension Request
Date: Tuesday, February 06, 2018 1:36:00 PM
Attachments: 2016 9.26 Chamber Fiduciary Rule Deadline Extension Request.pdf

---

Non-responsive

From: Hauser, Timothy - EBSA
Sent: Monday, September 26, 2016 1:25 PM
To: Canary, Joe - EBSA; Campagna, Lou - EBSA; Hall, Lyssa - EBSA; Lloyd, Karen - EBSA; Piacentini, Joseph - EBSA; Cosby, Chris - EBSA; Scott, William - SOL; Hansen, Megan D - SOL; Hopkins, Elizabeth - SOL
Cc: Hauser, Timothy - EBSA
Subject: FW: U.S. Chamber Letter re: Fiduciary Rule Extension Request

---

From: Norman, Jane - EBSA
Sent: 9/26/2016 1:08 PM
To: Borzi, Phyllis - EBSA; Hauser, Timothy - EBSA; Khawar, Ali - OSEC; Mares, Judith - EBSA; Herzog, Carol - EBSA; Scott, William - SOL
Subject: FW: U.S. Chamber Letter re: Fiduciary Rule Extension Request

---

From: (b) (6) - SOL
Sent: Monday, September 26, 2016 12:24 PM
To: bgross@uschamber.com
Cc: (b) (6) - EBSA; Norman, Jane - EBSA
Subject: FW: U.S. Chamber Letter re: Fiduciary Rule Extension Request

Hello Beth,

Please remove me as contact for Phyllis Borzi. Please add (b) (6) and/or Jane Norman.

Thanks

(b) (6)

From: Gross (Leamy), Beth [mailto:]
Sent: Monday, September 26, 2016 12:20 PM
To: Borzi, Phyllis - EBSA
Cc: Quaadman, Tom; Joe, Alice; Wong, Aliya; (b) (6) - SOL
Subject: U.S. Chamber Letter re: Fiduciary Rule Extension Request

Good afternoon –

Please see attached letter from the U.S. Chamber of Commerce regarding the necessity of extending the applicability date of the fiduciary rule regulation and associated prohibited transaction exemptions.

Thank you,

Beth

Beth Gross
Coordinator
Center for Capital Markets Competitiveness
202-887-3454
bgross@uschamber.com

Turner, Jeffrey - EBSA

Subject:	US Chamber speech / Alia Wong
Location:	Lee Anderson Veterans Center
Start:	Wed 9/28/2016 12:30 PM
End:	Wed 9/28/2016 2:30 PM
Show Time As:	Tentative
Recurrence:	(none)
Meeting Status:	Not yet responded
Organizer:	Turner, Jeffrey - EBSA
Required Attendees:	Turner, Jeffrey - EBSA; Canary, Joe - EBSA