

## Request for Exclusion from Remedies: Section 232 National Security Investigation of Steel Imports

Exclusion Request Requirements: Only individuals or organizations operating in the United States that use steel products (flat, long, semi-finished, pipe and tube, and stainless) in business activities (e.g., construction, manufacturing, supplying steel product to users) in the United States may submit an Exclusion Request. For an Exclusion Request to be considered, the exclusion requestor must provide factual information on 1) the single type of steel product product they require using a 10-digit HTSUS code, including its specific dimension; 2) the quantify of product required (stated in kilograms) under a one-year exclusion; 3) a full description of the properties of the steel product it seeks to import, including chemical composition, dimensions, strength, toughness, ductility, magnetic permeability, surface finish, coatings, and other relevant data. Exclusion Requests must be submitted using this Excel-based document. Paper submissions will not be accepted.

A separate Exclusion Request must be submitted on each distinct type and dimension of steel product to be imported. All applicable question blocks in the form must be completed for the Exclusion Request to be accepted. Exclusion Requests will be denied if the applicant: 1) does not sufficiently address the specified reporting requirements; 2) cites the improper HTSUS code, or 3) provides incorrect product descriptions.

For an Exclusion Request to be considered, the applicant must submit a either an Employer Identification Number or Customs and Border Protection Importer Number, which can be obtained at: [https://help.cbp.gov/app/answers/detail/a\\_id/198/~importer-numbers](https://help.cbp.gov/app/answers/detail/a_id/198/~importer-numbers). Applicants should not include their Social Security Number on this form.

Organizations electing to attach supporting documents must provide these documents in PDF format and it must not exceed 25 pages. All information submitted in the Exclusion Request is subject to public disclosure.

Organizations should upload their completed Exclusion Request pertaining to a steel product to <https://www.regulations.gov> under Docket Number BIS-2018-0006. An Exclusion Request may be submitted at any time. Processing of an Exclusion Request will take approximately 90 days. Notification of organizations granted Exclusions will be posted on regulations.gov and published in the Federal Register.

1.a	EIN or Customs and Border Protection Importer Number Do NOT Enter a SSN.		Identify the class of Steel product for which the Exclusion is sought		10-Digit Harmonized Tariff Schedule Code of the United States (HTSUS) for the single steel product covered by this request: (See <a href="https://www.usitc.gov/publications/docs/tata/hts/bychapter/0900c72_0.pdf">https://www.usitc.gov/publications/docs/tata/hts/bychapter/0900c72_0.pdf</a> )	
1.c	<b>Requesting Organization Information</b>				<b>Importer of Record for Organization Requesting an Exclusion</b>	
	Full Organization Legal Name				Full Organization Legal Name	
	Street Address				Street Address	
	City				City	
	State				State	
	Zip Code				Zip Code	
	Headquarters Country				Headquarters Country	
	Point of Contact Name				Point of Contact - Representative Name	
	Phone Number				Phone Number	
	E-mail Address				E-mail Address	
	Web Site Address				Web Site Address	
	<b>Parent Company of Requesting Organization</b>				<b>Requestor's Authorized Representative/Agent (If applicable)</b>	
	Full Organization Legal Name				Requestor Point of Contact Name	
	Street Address				Point-of-Contact Organization	
	City				Country Location	
State/Province				Phone Number		
Zip Code/Postal Code				E-mail Address		
Headquarters Country				Web Site Address		
Web Site Address				Other Information		
1.d	Does the parent organization hold ownership (partially or completely), or is it otherwise engaged as a: Steel Manufacturer; Steel Distributor; Steel Exporter or, Steel Importer? If "Yes" identify the activity.			If "Yes" - Identify the organization	Identify the country where the organization is headquartered	
	Comments:					
1.e	Identify the primary type of steel activity of the Exclusion Requestor:				Total Requested Annual Exclusion Quantity in Kilograms (1 metric ton = 1,000 kilograms)	
Comments:						

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2.a	Average annual consumption for years 2015-2017 of the steel product that is subject of this Exclusion request - Kilograms													
2.b	Explain why your organization requires an Exclusion using 1) the drop-down box and 2) by providing written comments in the space provided below.													
	Comments:													
2.c	Identify the percentage of total steel product covered under this Exclusion Request is not available from steel manufacturers in the United States:													
2.d	Estimate the number of days required to take delivery the steel product covered by this Exclusion Request, from the time the purchase order is issued by your organization:													
2.e	Estimate the number of days required to take manufacture the steel product covered by this Exclusion Request, from the time a binding purchase order is executed:													
2.f	Estimate the number of days required to ship the steel product covered under this Exclusion Request, from the foreign port of departure to the Exclusion Requestor's loading dock:													
2.g	Estimate the number of distinct shipments from the foreign port(s) of departure that will be needed for transporting to the United States the steel product subject to this Exclusion Request:													
2.h	Identify the U.S. Destination Port(s) of Entry through which the steel product subject to this Exclusion Request would be transported:													
	Is the organization making this Exclusion Request doing so on behalf of a Non-U.S. steel producer that does not manufacture steel products in the United States?													
2.i	If "Yes" identify the Non-U.S. Steel Producer					Identify the country where the organization is headquartered								
	Comments:													
<b>Exclusion Request Product Information</b>														
For this single Exclusion Request, provide a full, complete description of the product in the space provided below. * See explanation below. The product for which an Exclusion is being requested is defined as follows:														
2.j														
	<p>* With regard to the product for which an Exclusion is requested, such a description must be limited to a single product. The description must be limited solely to physical properties (e.g., chemical requirements, mechanical requirements, dimensions, etc.) and exact descriptive terms/phrases covering the product subject to the Exclusion Request (e.g., "hot-rolled," "seamless pipe," "suitable for use in boilers," "longitudinally submerged arc welded," etc.).</p> <p>All such physical properties must be defined based on actual, rather than nominal, measurements; references to specific dimensions (e.g., "cross-sectional diameter of 5.50 mm") or measurements (e.g., "yield strength of 300 MPa," "carbon content 0.08%," etc.) will be interpreted as meaning the exact dimension or measurement. Ranges (e.g., "cross-sectional diameter falling within the range 5.35 mm and 5.65 mm," "yield strength greater than or equal to 300 MPa," "carbon content less than or equal to 0.15%, by weight," etc.) are allowed. Where a range is needed, it should be identified based on the end points of the range (as in the examples above), rather than through references to absolute or percentage tolerances.</p>													
	Comments:													
2.k	Identify the standards organizations that have set specifications for the product type that is the subject of this Exclusion Request, and provide the reference designation(s) for the identified standards organization(s), (e.g., ASTM A108-13):			Organization	Designation		Organization	Designation		Organization	Designation		Organization	Designation
			1			3			5					
			2			4			6					
			Other (specify)				Other (specify)				Other (specify)			
<b>General Steel Product Description</b>														
Identify the classification and properties of the steel product covered under this Exclusion Request. Other classification or properties may be described in the Comment box below. (Select all that apply)														
2.l	Slab	Blooms	Billets	Ingots	Flat	Long	Beams	Semi -Finished	Pipe	Tube	Stainless	Hot Rolled	Cold Rolled	Annealed
	Plated	Electro- Plated	Galvanized	Eletro- Galvanized	Zinc Plated	Aluminum Plated	Chime Plated	Lead Plated	Tin Plated	Painted	Varnished	Plasticized	Pickled	Other (Use Comment Box)

	Comment:													
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3.a	State the chemical composition of the specific steel product for which your organization seeks an Exclusion.													
	Chemical Composition													
	Chemical	Aluminum	Antimony	Bismuth	Boron	Carbon	Chromium	Cobalt	Copper	Iron	Lead	Manganese	Molybdenum	Nickel
	Minimum %													
	Maximum %													
		Niobium	Nitrogen	Phosphorous	Selenium	Silicon	Sulfur	Tellurium	Titanium	Tungsten	Vanadium	Zirconium	[Other - List Below]	[Other - List Below]
	Minimum %													
	Maximum %													
	Comments:													
3.b	Provide the following information on the single steel product that is the subject of this exclusion request: 1) dimensional information for the single steel product and a single size -- not a range of products and or sizes (e.g., 19 mm dia. rebar - not 19, 22, 25, and 29 mm.) A separate Exclusion Request must be submitted for each steel product by physical dimension; 2) performance data for tensile strength, yield strength, hardness, impact, shear and test temperature.													
	Steel Product Specifications (Millimeters)						Strength			Toughness (If Applicable)				
		Thickness	Inside Diameter	Outside Diameter	Length	Width	Height	Tensile Strength Mega Pascal (MPa)	Yield Strength Mega Pascal (MPa)	Hardness (specify method below - Brinnell, Rockwell, Vickers, etc.)	Test Type	Drop-Weight Tear Testing	Impact (Charpy) Testing	Other (specify type in comment box)
	Minimum										Temperature (°C)			
											Joules			
	Maximum										% Shear			
		Comments:												
3.c	Provide the following information on the single steel product that is the subject of this Exclusion Request: 1) Performance data for ductility, magnetic permeability, surface finish; and 2) metal coating process, material type, weight, and thickness.													
		Global Ductility		Local Ductility (If Applicable)		Magnetic Permeability (If Applicable)			Surface Finish (If Applicable)	Coating Type and Composition (If Applicable)				
		Elongation %	Reduction -in-Area %	Hole Expansion %	Bendability (Bend Radius/Sheet Thickness [millimeter]	Magnetic Permeability [Epstein Test]	Magnetic Permeability [Greer Lab Method]	Stacked Wide Sheet [ASTM A804/ A804M-04 (2015)]	Profilometer - [SAE J911]	Coating Method	Coating Product Name and Abbreviation	Composition (e.g., Zinc, Aluminum, Silicon, Magnesium, other intentionally added elements or alloys	Weight [Grams per Sq. Meter]	Coating Thickness [micrometers]
		Minimum												
		Maximum												
	Select any additional processing methods used:											Other (specify)		

Comments:						
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List the Commercial Name(s) of the single steel product that is the subject of this Exclusion Request.						
4.a						
Comments:						
4.b	State the 1) application for the steel product (e.g., automotive, appliances, industrial products, structural, etc.) that is the subject of this Exclusion Request, and 2) why similar steel products manufactured in the United States, if available, are not suitable.					
4.c	If the Exclusion is needed to support U.S. national security requirements (critical infrastructure or national defense systems), provide a detailed description of the specific uses of this single steel product in the space below:					
4.d	Identify the source countries for the single steel product for which the Exclusion is requested, the annual quantity to be supplied in kilograms, and the name of the current manufacturer(s) of the steel product. If this steel product is not obtained directly from the manufacturer, identify the current supplier(s) and the country of the supplier(s). The Exclusion request, if granted, will pertain solely to the identified supplier(s) listed in this form and the country of origin.					
	NOTE: Steel products encompassing more than one 10-digit HTSUS code must submit a separate Exclusion Request for each pertinent code.					
		Country of Origin	Country of Export	Exclusion Quantity	Current Manufacturer	Current Supplier (if not obtained directly from manufacturer)
	1					
	2					
	3					
	4					
Product Availability Information						
4.e	Does the requestor possess knowledge of any domestic U.S. parties that <u>currently</u> manufacture the described steel product in the United States?					
	Comment:					
4.f	Does the requestor possess knowledge of any parties that <u>currently</u> manufacture the described steel product in Canada or Mexico?					
	Comment:					
4.g	Is the requestor aware of any manufacturers <u>capable of producing</u> a substitute for the steel product in the United States? If "Yes" provide supporting information (name and address) in the space below?					
	Comment:					
Has the Exclusion requestor attempted to <u>qualify any steel manufacturer in the United States</u> as a supplier of the steel product for that is the subject of this Exclusion Request in <b>the past two years</b> ? If "Yes" provide supporting information in the space below. Supporting documents must be submitted as a consolidated PDF file and may not exceed 25 pages.						

4.h	1		5	
	2		6	
	3		7	
	4		8	
	Comment:			
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5.a	Has the Exclusion requestor <u>attempted to purchase the described steel product</u> that is the subject of this Exclusion Request, or a substitute, from a U.S. manufacturer in the <b>past two years</b> ? If "Yes" identify the manufacturers, addresses, and your points of contact at the U.S. steel manufacturing organizations in the space below.	
	Comment:	
5.b	Has the Exclusion requestor <u>had supply contracts, or have current contracts</u> , with steel producers that manufacture in the United States the steel product identified in the Exclusion Request? If "Yes" identify the U.S. steel manufacturers, addresses, and your points of contact at the U.S. manufacturing organizations in the space below.	
5.c	Has the Exclusion requestor determined that there is no U.S. manufacturer that produces a near-equivalent steel product that would meet qualification requirements? If "Yes" identify in the space below the testing standards/procedures employed to make that determination (e.g., magnetic core loss, etc).	
	Comment:	
5.d	In the last two years, has the requestor purchased a <u>substitute steel product</u> manufactured in the United States in place of the steel product described in the Exclusion Request? If "Yes" provide supporting information in the space below.	
	Comment:	
5.e	Provide a detailed explanation as to how U.S. Customs and Border Protection (CBP) will be able to reasonably distinguish the steel product subject to the Exclusion request at time of entry, without adding undue burden to their current entry system and procedures.	
<b>Submission of Exclusion Requests:</b> All Exclusion Requests must be fully completed and submitted to <a href="http://www.regulations.gov">www.regulations.gov</a> <span style="color: red;">{insert specific location}</span> using this Microsoft Excel electronic form. All information submitted, except where specified, will be subject to Public Review, regardless of any statements by the requestor that some information should be treated otherwise. Any further information required as a part of this Exclusion Request will be determined and requested solely by the U.S. Department of Commerce.		
<b>Submission of Supporting Documents (Attachments):</b> Supporting attachments should be submitted to <a href="http://www.regulations.gov">www.regulations.gov</a> as PDF documents and must be posted to <a href="http://www.regulations.gov">www.regulations.gov</a> <span style="color: red;">{insert specific location}</span> . Total supporting submissions may not exceed 25 pages.		
Other Comments:		

<b>Instruction: This Exclusion Request must be signed by an organization official specifically authorized to certify the document as being accurate and complete.</b>				
<b>CERTIFICATION</b>				
The undersigned certifies that the information herein supplied in response to this questionnaire is complete and correct to the best of his/her knowledge. <b>It is a criminal offense to willfully make a false statement or representation to any department or agency of the United States Government as to any matter within its jurisdiction.</b> [18 U.S.C. 1001 (1984 & SUPP. 1197)]				
Company Name:				
Name of Authorizing Official:		Title of Authorizing Official		
Phone Number:		Extension		
If the Point of Contact is different from the Authorizing Official provide point-of-contact information below.				
Point-of-Contact Name:		Title		
E-Mail Address:		Phone Number		
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