# Executive Branch Personnel
# Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

**Blew, James Carter**

Assistant Secretary for Planning, Evaluation, and Policy Development, Department of Education

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- **Committee on Health, Education, Labor, and Pensions**

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Blew, James Carter [electronically signed on 05/08/2017 by Blew, James Carter in Integrity.gov]**

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
**/s/ Goodridge-Keiller, Marcella, Certifying Official [electronically signed on 09/30/2017 by Goodridge-Keiller, Marcella in Integrity.gov]**

Other review conducted by
**/s/ Sprague, Marcia, Ethics Official [electronically signed on 09/30/2017 by Sprague, Marcia in Integrity.gov]**

U.S. Office of Government Ethics Certification
**/s/ Apol, David, Certifying Official [electronically signed on 10/06/2017 by Apol, David in Integrity.gov]**

## 1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|---|---|---|---|---|---|
| 1 | 50CAN, Inc. | Washington, District of Columbia | Non-Profit | Director, Student Success California | 7/2016 | Present |
| 2 | StudentsFirst | Sacramento, California | Non-Profit | President | 12/2014 | 6/2016 |
| 3 | Carole S Randolph, DDS, MS, Inc. | Valencia, California | Corporation | Business Manager | 6/1996 | Present |
| 4 | Revocable Living Trust | Valencia, California | Trust | Trustee | 11/1999 | Present |
| 5 | Policy Innovators in Education (PIE) Network | Minneapolis, Minnesota | Non-Profit | Member and Treasurer, Board of Directors | 12/2015 | Present |
| 6 | National Charter Collaborative | Washington, District of Columbia | Non-Profit | Member, Board of Directors | 5/2017 | Present |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 1 | StudentsFirst | N/A | | Salary | $114,396 |
| 2 | Rollover IRA | No | | | |
| 2.1 | Fidelity Government Money Market Capital Reserves | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 2.2 | Hospitality Investors Trust, Inc. f/k/a American Realty Capital Hospitality Trust, Inc. | Yes | $100,001 - $250,000 | | None (or less than $201) |
| 2.3 | ARC Realty Finance Trust Inc. | Yes | $50,001 - $100,000 | | None (or less than $201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 2.4 | Benefit Street Partners Realty Trust Inc. Com | | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2.5 | Charter Communication Inc. New Cl A | See Endnote | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 2.6 | KBS Real Estate Investment Trust II Inc. | | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2.7 | United Development Funding IV - Common Shares of Beneficial Interest | | Yes | $1,001 - $15,000 | | $2,501 - $5,000 |
| 2.8 | Wal-Mart Stores | See Endnote | N/A | $15,001 - $50,000 | Dividends | $1,001 - $2,500 |
| 2.9 | American Funds The Income Fund of America Cl C | | Yes | $50,001 - $100,000 | | $1,001 - $2,500 |
| 2.10 | American Funds Investment Company of America Class C | | Yes | $50,001 - $100,000 | | $201 - $1,000 |
| 2.11 | American Funds New Perspective Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.12 | The Hartford Balanced Income Fund Class C | | Yes | $50,001 - $100,000 | | $201 - $1,000 |
| 2.13 | Putnam Absolute Return 700 Fund Class C | | Yes | $50,001 - $100,000 | | $1,001 - $2,500 |
| 2.14 | Putnam Multi-Cap Core Fund Class C | | Yes | $50,001 - $100,000 | | $201 - $1,000 |
| 2.15 | Putnam Small Cap Growth Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.16 | Olstein All Cap Value Fund Class C | | Yes | $100,001 - $250,000 | | None (or less than $201) |
| 2.17 | Olstein Strategic Opportunities Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.18 | Thornburg Investment Income Builder Fund Class C | | Yes | $50,001 - $100,000 | | $2,501 - $5,000 |
| 2.19 | Hartford Floating Rate Class C | | Yes | $50,001 - $100,000 | | $201 - $1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 3 | Carole S. Randolph, DDS, MS, Inc. 401K | No | | | |
| 3.1 | John Hancock Lifestyle Balanced - Active Strategy a/k/a John Hancock Multimanager Lifestyle Balanced Portfolio | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 3.2 | John Hancock Lifestyle Growth - Active Strategy a/k/a John Hancock Multimanager Lifestyle Growth Portfolio | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 3.3 | American Funds Investment Company of America | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.4 | American Funds EuroPacific Growth Fund | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.5 | John Hancock Capital Appreciation Fund | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.6 | DFA U.S. Small Cap Fund | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.7 | American Funds Investment Company of America | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 4 | Alliance for School Choice 403B | No | | | |
| 4.1 | American Century Investments Prime Money Market Fund | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 4.2 | American Century Value Fund | Yes | $15,001 - $50,000 | | $201 - $1,000 |
| 5 | 50CAN 401K | No | | | None (or less than $201) |
| 5.1 | PIMCO Total Return Fund A | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 5.2 | T. Rowe Price Retirement 2020 Fund - R | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 5.3 | T. Rowe Price Retirement 2030 Fund - R | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 5.4 | State St S&P 500 Idx Sec Lending Ser IX | Yes | $1,001 - $15,000 | | None (or less than $201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 6 | 50CAN | N/A | | Salary | $140,000 |
| 7 | Carole S Randolph, DDS, MS, Inc. | N/A | | Salary | $33,000 |
| 8 | Carole S Randolph, DDS, MS, Inc. Stock | N/A | $15,001 - $50,000 | | None (or less than $201) |

## 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 1 | Carole S Randolph DDS, MS, Inc | Valencia, California | I will continue to participate in this defined contribution plan, but the plan sponsor will not make contributions after my separation. | 3/2004 |
| 2 | Alliance for School Choice | Kansas City, Missouri | I will continue to participate in this defined contribution plan. The plan sponsor has not made contributions since my separation in 2005 and will not make any contributions in the future. | 1/2000 |
| 3 | 50CAN, Inc. | Washington, District of Columbia | I will not participate in this defined contribution plan after my separation. | 7/2016 |

## 4. Filer's Sources of Compensation Exceeding $5,000 in a Year

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|---|---|---|
| 1 | 50CAN, Inc. | Washington, District of Columbia | Manage California state affiliate |
| 2 | StudentsFirst | Sacramento, California | Managed organization |
| 3 | Carole S Randolph, DDS, MS, Inc. | Valencia, California | Part-time business manager |

## 5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 1 | Carole S Randolph, DDS, MS, Inc. (Orthodontics) | | N/A | | salary | |
| 1.1 | U.S. bank account (cash) | See Endnote | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 2 | Rollover IRA | | No | | | |
| 2.1 | Business Development Corporation of America | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 2.2 | KBS Strategic Opportunity REIT Inc. | | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2.3 | American Funds Retirement Income Portfolio - Enhanced Class C | | Yes | $250,001 - $500,000 | | $1,001 - $2,500 |
| 2.4 | American Funds The Income Fund of America Class C | | Yes | $15,001 - $50,000 | | $1,001 - $2,500 |
| 2.5 | American Funds Investment Company of America Class C | | Yes | $15,001 - $50,000 | | $201 - $1,000 |
| 2.6 | John Hancock Funds Global Absolute Return Strategies Fund | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.7 | John Hancock Funds International Growth Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.8 | Putnam Growth Opportunities Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.9 | Putnam Small Cap Growth Fund | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.10 | Templeton Global Balanced Fund Class C1 | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.11 | Olstein All Cap Value Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.12 | Olstein Strategic Opportunities Fund Class C | | Yes | $50,001 - $100,000 | | None (or less than $201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 2.13 | Hartford Floating Rate Fund Class C | Yes | $50,001 - $100,000 | | $201 - $1,000 |
| 3 | Carole S. Randolph, DDS, MS, Inc. 401K | No | | | |
| 3.1 | John Hancock Lifestyle Balanced - Active Strategy a/k/a John Hancock Multimanager Lifestyle Balanced Portfolio | Yes | $100,001 - $250,000 | | None (or less than $201) |
| 3.2 | John Hancock Lifestyle Growth - Active Strategy a/k/a John Hancock Multimanager Lifestyle Growth Portfolio | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 3.3 | American Funds Investment Company of America | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.4 | American Funds EuroPacific Growth | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.5 | John Hancock Capital Appreciation Fund | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 3.6 | DFA U.S. Small Cap Fund | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 4 | Carole S Randolph, DDS, MS, Inc. Stock | N/A | $100,001 - $250,000 | | None (or less than $201) |

## 6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 1 | U.S. brokerage account #1 | No | | | |
| 1.1 | American Funds The Income Fund of America Class C | Yes | $50,001 - $100,000 | | $1,001 - $2,500 |
| 1.2 | Putnam Capital Spectrum Fund Class C | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 1.3 | Olstein All Cap Value Fund Class C | Yes | $50,001 - $100,000 | | None (or less than $201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 1.4 | Thornburg Investment Income Builder Fund Class C | | Yes | $50,001 - $100,000 | | $2,501 - $5,000 |
| 1.5 | Franklin California Intermediate-Term Tax-Free Income Fund Class C | | Yes | $50,001 - $100,000 | | $1,001 - $2,500 |
| 2 | West Virginia HartfordFunds Smart529 | | No | | | |
| 2.1 | Hartford Funds Smart529 Growth Portfolio A | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 3 | U.S. bank account #1 (cash) | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 4 | U.S. bank account #2 (cash) | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 5 | Chrysalis Real, Inc. (CRI) | See Endnote | N/A | $1,000,001 - $5,000,000 | | None (or less than $201) |
| 5.1 | U.S. bank account (cash) | | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 5.2 | Commercial real estate, Valencia, CA | | N/A | $1,000,001 - $5,000,000 | Rent or Royalties | $15,001 - $50,000 |
| 6 | Jackson National Life Insurance, whole life | | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 7 | Northwestern Mutual Insurance, whole life | | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 8 | Cinema Professional Center, LLC | | No | | | |
| 8.1 | Cinema Professional Center (commercial real estate), Valencia, CA | | N/A | $500,001 - $1,000,000 | Rent or Royalties | $15,001 - $50,000 |
| 9 | U.S. brokerage account #2 | | No | | | |
| 9.1 | Blackrock Liquidity Funds Tempfund | | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 9.2 | AT&T Inc | | N/A | $1,001 - $15,000 | | None (or less than $201) |
| 9.3 | Comcast Corp New Class A | | N/A | $15,001 - $50,000 | Dividends | $201 - $1,000 |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| # | CREDITOR NAME | TYPE | AMOUNT | YEAR INCURRED | RATE | TERM |
|---|---|---|---|---|---|---|
| 1 | Wells Fargo | Mortgage (investment/rental property) | $250,001 - $500,000 | 2008 | 5.7% | 20-year fixed |
| 2 | Bank of America | Mortgage on Personal Residence | $50,001 - $100,000 | 2013 | 2.875% | 10 years |
| 3 | Bank of America | Exercised Line of Credit | $250,001 - $500,000 | 2017 | 2.74% | 10 years |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

| PART | # | ENDNOTE |
|---|---|---|
| 2. | 2.5 | Asset sold subsequent to 5/8/2017. |
| 2. | 2.8 | Asset sold subsequent to 5/8/2017. |
| 5. | 1.1 | These are cash accounts of the Carole S Randolf, DDS, MS, Inc. held in a U.S. bank. |
| 6. | 5 | This company's only assets are the business condo in Valencia, California to which the Carole S. Randolph, DDS, MS, Inc. pays rent and a U.S. bank account (cash). |

# Summary of Contents

**1. Filer's Positions Held Outside United States Government**

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

**2. Filer's Employment Assets & Income and Retirement Accounts**

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

**3. Filer's Employment Agreements and Arrangements**

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

**4. Filer's Sources of Compensation Exceeding $5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

**5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

**6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

**7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

**8. Liabilities**

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

**9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

**Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

**Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).